U.S. DEPARTMENT OF HEALTH AND HUMAN SERVICES



Federal Office of Rural Health Policy Rural Strategic Initiatives Division

Rural Communities Opioid Response Program - Implementation

Funding Opportunity Number: HRSA-21-088 Funding Opportunity Type: New Assistance Listings (CFDA) Number: 93.912

NOTICE OF FUNDING OPPORTUNITY

Fiscal Year 2021

Application Due Date: March 12, 2021

Ensure your SAM.gov and Grants.gov registrations and passwords are current immediately!

HRSA will not approve deadline extensions for lack of registration.

Registration in all systems, including SAM.gov and Grants.gov,

may take up to 1 month to complete.

Issuance Date: December 21, 2020

NOTE: A Frequently Asked Questions document will be available for applicants after the conclusion of the Technical Assistance webinar.

Lea Carroll

Public Health Analyst, Federal Office of Rural Health Policy

Telephone: (301) 443-3799

Email: ruralopioidresponse@hrsa.gov for program specific questions.

Please contact the Grants Management Specialist on page 43 of the NOFO for budget related questions.

Authority: 42 U.S.C. 912(b)(5) (§ 711(b)(5) of the Social Security Act)

EXECUTIVE SUMMARY

The Health Resources and Services Administration (HRSA) is accepting applications for the fiscal year (FY) 2021 Rural Communities Opioid Response Program - Implementation (RCORP-Implementation). RCORP is a multi-year initiative by HRSA aimed at reducing the morbidity and mortality of substance use disorder (SUD), including opioid use disorder (OUD), in high-risk rural communities. This funding opportunity, RCORP-Implementation, will advance RCORP's overall goal by strengthening and expanding SUD/OUD prevention, treatment, and recovery services to enhance rural residents' ability to access treatment and move towards recovery.

Funding Opportunity Title:	Rural Communities Opioid Response
	Program – Implementation
Funding Opportunity Number:	HRSA-21-088
Due Date for Applications:	March 12, 2021
Anticipated Total Annual Available FY 2021 Funding:	\$78,000,000
Estimated Number and Type of Awards:	Up to 78 awards
Estimated Award Amount:	Up to \$1,000,000 for the three-year period of performance. Award recipients will receive the full award amount in the first year of the period of performance and are required to allocate it across all three years.
Cost Sharing/Match Required:	No
Period of Performance:	September 1, 2021 through August 31, 2024 (3 years)
Eligible Applicants:	All domestic public and private entities, nonprofit and for-profit, are eligible to apply. Domestic faith-based and community-based organizations, tribes, and tribal organizations and organizations based in the territories and freely associated states are also eligible to apply.
	See Section III.1 of this notice of funding opportunity (NOFO) for complete eligibility information.

Application Guide

You (the applicant organization/agency) are responsible for reading and complying with the instructions included in HRSA's *SF-424 Application Guide*, available online at http://www.hrsa.gov/grants/apply/applicationguide/sf424guide.pdf, except where instructed in this NOFO to do otherwise.

Technical Assistance

HRSA has scheduled the following technical assistance:

Webinar

Day and Date: Thursday, January 26, 2021

Time: 1 - 2 p.m. ET

Call-In Number: 1-800-369-1956 Participant Code: 9970670

Weblink:

https://hrsa.connectsolutions.com/fy21 rcorp implementation applicant ta webinar/

NOTE: A Frequently Asked Questions Document will be available for applicants after the conclusion of the TA webinar.

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I. Program Funding Opportunity Description

1. Purpose

The Rural Communities Opioid Response Program (RCORP) is a multi-year initiative by the Health Resources and Services Administration (HRSA) aimed at reducing the morbidity and mortality of substance use disorder (SUD), including opioid use disorder (OUD), in high risk rural communities. This notice announces the opportunity to apply for funding under RCORP-Implementation. This funding opportunity, RCORP Implementation, will advance RCORP's overall goal by strengthening and expanding SUD/OUD prevention, treatment, and recovery services to enhance rural residents' ability to access treatment and move towards recovery.

In 2017, the U.S. Department of Health and Human Services (HHS) published a five-point Strategy to Combat Opioid Abuse, Misuse, and Overdose, which outlines concrete steps local communities can take to address the opioid epidemic. In alignment with the HHS Five-Point Strategy, and as part of the RCORP initiative, RCORP-Implementation award recipients will implement a set of core SUD/OUD prevention, treatment, and recovery activities, as outlined in Program-Specific Instructions. These activities incorporate evidence-based, promising, and innovative approaches proven to reduce the morbidity and mortality associated with opioid use disorder including overdose, such as naloxone distribution and other harm reduction services, and Medication-Assisted Treatment (MAT).

Given the complex and multifaceted nature of SUD/OUD, as well as the need to secure community buy-in and generate adequate patient volume to sustain services, HRSA requires that applicants be part of broad, multi-sectoral consortia. For the purposes of RCORP-Implementation, a consortium is an organizational arrangement among four or more separately owned domestic public or private entities, including the applicant organization, with established working relationships. The entities, including the applicant organization, must all have different Employment Identification Numbers (EINs).

Consortia should be able to operationalize their proposed work plans immediately upon receipt of award.

The target population for the award is: 1) individuals who are at risk for, have been diagnosed with, and/or are in treatment and/or recovery for OUD; 2) their families and/or caregivers; and 3) other community members² who reside in HRSA-designated rural areas, as defined by the Rural Health Grants Eligibility Analyzer

Applicants are encouraged to include populations that have historically suffered from poorer health outcomes, health disparities, and other inequities, as compared to the rest of the target population, when addressing SUD in the proposed service area. For

¹ Tribal entities may be exempt from this requirement. Please reference <u>Eligible Applicants</u> for more information.

² Applicants are encouraged to include individuals in the community who are involved in improving health care in rural areas.

example, a recent study found that more rural racial/ethnic minorities reported their health as fair or poor, that they were unable to see a physician in the past 12 months because of cost, and that they did not have a personal health care provider compared to their non-Hispanic white counterparts.³ Examples of these populations include, but are not limited to, racial and ethnic minorities, people/persons experiencing homelessness, pregnant women, youth and adolescents, etc.

The primary focus of the RCORP-Implementation award program is OUD. However, recognizing that many individuals with OUD are polysubstance users, or have other cooccurring conditions, consortia may also use RCORP-Implementation support to help address other SUD-related needs of the target population of individuals and families affected by OUD. Applicants should link any additional activities they propose to the needs of their target population and service area. Please note that no competitive advantage, funding priority, or preference is associated with proposing activities beyond the core/required activities outlined in <u>Section IV.2</u>.

HRSA expects that consortia funded by RCORP-Implementation will sustain the strengthened and/or expanded level of SUD/OUD-related services in rural areas made possible by this funding opportunity both during and beyond the period of performance. Over the course of the three-year period of performance, RCORP-Implementation award recipients will complete **detailed plans for sustaining their consortia and SUD/OUD services** beyond the RCORP-Implementation period of performance.

Finally, RCORP-Implementation award recipients are expected to work **closely with a HRSA-funded technical assistance (TA) provider** throughout the three-year period of performance. Targeted TA is provided to each award recipient at no additional cost, and is intended to help recipients achieve desired project outcomes, sustain services, align their performance reporting/evaluative activities, implement quality improvement efforts, and overcome challenges to project implementation. HRSA will provide more information about TA support upon receipt of award.

2. Background

This program is authorized by Section 711(b)(5) of the Social Security Act (42 U.S.C. 912(b)(5)), as amended.

In 2017, HHS declared the opioid crisis a nationwide public health emergency. Rural providers and communities in particular face a number of challenges in providing and accessing SUD/OUD services. The national shortage of providers who administer medication-assisted treatment (MAT), including waivered providers who prescribe buprenorphine, is a critical issue.⁴ More than half of rural counties still lack physicians

³ James, Cara, et al (2017), "Racial/Ethnic Health Disparities Among Rural Adults — United States, 2012–2015," *CDC MMWR*, https://www.cdc.gov/mmwr/volumes/66/ss/ss6623a1.htm?s_cid=ss6623a1_w. ⁴ Jones, C. M., Campopiano, M., Baldwin, G., & McCance-Katz, E. (2015). National and State Treatment Need and Capacity for Opioid Agonist Medication-Assisted Treatment. American Journal of Public Health, 105(8), e55–e63. https://doi.org/10.2105/AJPH.2015.302664

with a waiver to prescribe buprenorphine.⁵ In addition to workforce shortages, rural communities face barriers such as stigma, transportation, and costs associated with setting up MAT and other SUD/OUD services.⁶

Rural opioid users are more likely than their urban counterparts to have socioeconomic vulnerabilities, including limited educational attainment, poor health status, lack of health insurance, and low income, ⁷ which may further limit their abilities to access Treatment. The opioid epidemic has also led to an increase in people who inject drugs (PWID), which in turn has increased the risk of transmission of viruses such as human immunodeficiency virus (HIV) and hepatitis B and C viruses (HBV and HCV) through shared equipment Rural communities are particularly vulnerable to outbreaks of HIV and HCV among uninfected PWID.⁸

Recent Centers for Disease Control and Prevention data suggest that synthetic opioids are increasingly playing a role in psychostimulant-involved deaths. Drug overdose deaths involving psychostimulants with abuse potential, including methamphetamine, increased by over a third in rural communities between 2016 and 2017.9

RCORP supports and encourages projects that address the needs of a wide range of population groups, including, but not limited to, low-income populations, the elderly, pregnant women, youth, adolescents, ethnic and racial minorities, persons/people experiencing homelessness, and individuals with special health care needs.

It is important to note that rural racial and ethnic minority populations face even greater challenges in terms of access to care and related health care challenges that are often overlooked. Recognizing the link between health disparities and SUD, applicants are encouraged to identify means of bridging the gap between social determinants of health and other systemic issues that could contribute to achieving equity in SUD/OUD prevention, treatment, and recovery services by proposing specific strategies within their applications.

As part of HRSA's overall strategy for addressing SUD/OUD in rural communities, in FY 2021, HRSA will provide funds for the National Health Service Corps (NHSC) Rural Community Loan Repayment Program (LRP) under separate funding opportunity to

⁶ See, e.g., Implementing Medication-Assisted Treatment for Opioid Use Disorder in Rural Primary Care: Environmental Scan Volume 1, AHRQ,

⁵ Holly et al (2017), "Barriers Rural Physicians Face Prescribing Buprenorphine for Opioid Use Disorder," WWAMI Rural Health Research Center,

http://europepmc.org/backend/ptpmcrender.fcgi?accid=PMC5505456&blobtype=pdf

https://integrationacademy.ahrq.gov/sites/default/files/mat_for_oud_environmental_scan_volume_1_1.pdf

The Lenardson, Jennifer et al. (2016), "Rural Opioid Abuse: Prevalence and User Characteristics," Maine Rural Health Research Center, http://muskie.usm.maine.edu/Publications/rural/Rural-Opioid-Abuse.pdf

Naine Rural Health Research Center, http://muskie.usm.maine.edu/Publications/rural/Rural-Opioid-Abuse.pdf

Natural Health Research Center, http://muskie.usm.maine.edu/Publications/rural/Rural-Opioid-Abuse.pdf

Natural Health Research Center, http://muskie.usm.maine.edu/Publications/rural/Rural-Opioid-Abuse.pdf

Natural Health Research Center, https://www.natural-Opioid-Abuse.pdf

award eligible providers (Allopathic/Osteopathic Physicians, Physician Assistants, Psychiatrists, Nurse Practitioners, Certified Nurse-Midwives, Psychiatric Nurse Specialists, Health Service Psychologists, Licensed Clinical Social Workers, Marriage and Family Therapists, Licensed Professional Counselors, SUD counselors, Clinical Pharmacists, Registered Nurses and Nurse Anesthetists) who are working at a NHSC-approved RCORP consortium member site. RCORP-Implementation applicants are encouraged to leverage the NHSC Rural Community LRP to support the recruitment and retention of eligible providers from the SUD workforce.

- For additional information on the Rural Community LRP and Sites, see
 Appendix A For a list of current rural NHSC-approved SUD facilities, visit HRSA's Health Workforce Connector.
- To learn how to become an NHSC site, visit the <u>NHSC website</u>.

In 2019, the U.S. Department of Health and Human Services (HHS) Rural Health Task Force developed the "Healthy Rural Hometown Initiative" (HRHI). The HRHI is an effort that seeks to address the underlying factors that are driving growing <u>rural health</u> <u>disparities</u> related to the five leading causes of avoidable death (heart disease, cancer, unintentional injury/substance use, chronic lower respiratory disease, and stroke). RCORP-Implementation supports the HRHI initiative by aiming to reduce mortality from unintentional injury as a result of drug overdose. While applicants and award recipients to RCORP-Implementation do not need to explicitly link their activities to the HRHI, HRSA may plan to use the performance data submitted by RCORP-Implementation award recipients to demonstrate how RCORP-Implementation supports the overall goal of the HRHI. For more information on the Healthy Rural Hometown Initiative, see page 29 of the HHS Rural Action Plan.

For information on other HRSA-supported SUD/OUD funding opportunities, resources, technical assistance, and training, visit https://www.hrsa.gov/opioids. For information on other federal SUD/OUD resources, please see **Appendix B**.

II. Award Information

1. Type of Application and Award

Type of applications sought: New

HRSA will provide funding in the form of a grant.

2. Summary of Funding

HRSA estimates approximately \$78,000,000 to be available to fund 78 recipients. The actual amount available will not be determined until enactment of the final FY 2021 federal appropriation. You may apply for a ceiling amount of up to \$1,000,000 total cost (includes both direct and indirect, facilities and administrative costs). No competitive advantage, funding priority, or preference is associated with requesting an amount below the \$1,000,000 ceiling. The period of performance is September 1, 2021 through August 31, 2024 (three years).

Award recipients will receive the full award amount in the first year of the three-year period of performance, and must allocate the award funding across each of the three years. Additionally, recipients must submit a budget and budget narrative for each of the three years of the period of performance. While you must distribute the funding across each of the three years, the budget does not need to be evenly split across the three-year period of performance, and can vary based on your community's needs.

This program notice is subject to the appropriation of funds, and is a contingency action taken to ensure that, should funds become available for this purpose, HRSA can process applications and award funds appropriately.

All HRSA awards are subject to the Uniform Administrative Requirements, Cost Principles, and Audit Requirements at 45 CFR part 75.

III. Eligibility Information

1. Eligible Applicants

Applicant Organization Specifications:

Eligible applicants include all domestic public or private, non-profit or for-profit entities, including faith-based and community-based organizations, tribes, and tribal organizations. In addition to the 50 U.S. states, only organizations in the District of Columbia, Guam, the Commonwealth of Puerto Rico, the Northern Mariana Islands, American Samoa, the U.S. Virgin Islands, the Federated State of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau may apply. If you are located outside the 50 states, you must still meet the eligibility requirements.

The applicant organization may be located in an urban or rural area and should have the staffing and infrastructure necessary to oversee program activities, serve as the fiscal agent for the award, and ensure that local control for the award is vested in the targeted rural communities.

All activities supported by RCORP-Implementation (i.e., <u>all service delivery sites</u>) must exclusively occur in HRSA-designated rural counties or rural census tracts in urban counties, as defined by the Rural Health Grants Eligibility Analyzer.

Consortium Specifications:

HRSA requires that applicants be part of broad, multi-sectoral consortia comprised of at least four or more separately owned entities, including the applicant organization. The entities should all have different ElNs and have established working relationships. This requirement pertains to all applicant organizations except tribal organizations. The consortium should include members from multiple sectors and/or disciplines and have a history of collaborating to address SUD/OUD in a rural area. Applicants are encouraged to incorporate individuals and community sectors particularly affected by SUD/OUD, including health and social service organizations, employers, individuals in recovery, law enforcement and first responders, teachers and school systems, child welfare

agencies, etc. See **Appendix C** for a non-exhaustive list of potential consortium partners.

Consortium members may be located in urban or rural areas. However, all activities supported by this program must exclusively target populations residing in HRSA-designated rural counties or rural census tracts in urban counties. **Additionally, a majority, or at least 50 percent, of members in each consortium must be located within rural areas.** To ascertain whether a particular county or census tract is rural, please refer to http://datawarehouse.hrsa.gov/RuralAdvisor/.

For applicant organizations and consortium members located in HRSA-designated rural areas, but that share an EIN with an urban headquarters, in order for the consortium member to be considered "rural," the urban parent organization must assure FORHP via a signed letter on organization letterhead that, for the purposes of the RCORP-Implementation award, they will exert no control over or demand collaboration with the rural entity (**Attachment 11**).

All consortium members reflected in the proposed work plan, including the applicant organization, must sign and date a <u>single</u> letter of commitment (**Attachment 3**) that delineates the expertise, roles, responsibilities, and commitments of each consortium member. At least four separately owned entities, including the applicant organization, must sign the letter of commitment, and at least 50 percent of signatories must be physically located in HRSA-designated rural areas, as defined by the <u>Rural Health</u> <u>Grants Eligibility Analyzer</u>. Electronic signatures are acceptable. If you are unable to obtain a given signature, please provide a brief explanation why.

If awarded, recipients must notify consortium members who will be serving as subcontractors/subrecipients that they must be registered in SAM. The award recipient must provide the consortium member with their DUNS number.

Exceptions to Eligibility Criteria:

1. Tribal Exception:

HRSA is aware that tribes and tribal governments may have an established infrastructure without separation of services recognized by filing for EINs. In the case of tribes and tribal governments, only a single EIN located in a HRSA designated rural area is necessary for eligibility as long as the EIN is associated with an entity located in a HRSA-designated rural area. Tribes and tribal entities under the same tribal governance must still meet the consortium criteria of four or more entities committed to the proposed approach, as evidenced by a signed letter of commitment that delineates the expertise, roles, responsibilities, and commitments of each consortium member.

2. Service Delivery Site Exceptions:

All service delivery sites supported by the RCORP-Implementation award must be exclusively located in <u>HRSA-designated rural areas</u>. However, given the shortage of service delivery sites in HRSA-designated rural areas, some exceptions apply (see below). In order to qualify for one of these exceptions the applicant must

establish their non-rural service delivery site serves rural populations and must also establish that the services are related to improving health care in rural areas (as opposed to merely improving the health care of rural populations).

- a) Critical Access Hospitals (CAHs) that are not located in HRSAdesignated rural areas. Applicants who wish to exercise this exception must provide the six-digit CMS Certification Number/Medicare Provider Number for the relevant service delivery site(s) in Attachment 9. If the service delivery site has been recently designated a CAH (less than a year ago), please submit the CAH approval letter from CMS in Attachment 9.
- b) Entities eligible to receive Small Rural Hospital Improvement (SHIP) funding and that are not located in HRSA-designated rural areas may serve as service delivery sites for RCORP-Implementation projects. Eligible entities include hospitals that are non-federal, short-term general acute care and that: (i) are located in a rural area as defined in 42 U.S.C. 1395ww(d) and (ii) have 49 available beds or less, as reported on the hospital's most recently filed Medicare Cost Report. Applicants who wish to exercise this exception must provide the six-digit CMS Certification Number/Medicare Provider Number for the relevant service delivery site(s) in Attachment 10.
- c) For applicant organizations whose service area encompasses partially rural counties, as determined by the Rural Health Grants Eligibility Analyzer, service delivery sites may be located in an urban portion of the partially rural county if the service delivery site is located in an incorporated city, town, or village, or unincorporated census-designated place (CDP), with 49,999 or fewer people, as confirmed by the census website (2010 Census). Applicants who wish to exercise this exception must provide a screenshot from the census website (2010 Census) documenting that the service delivery site(s)' location meets the above criterion in Attachment 12. If the applicant searches a place and it does not appear in the Quick Facts dropdown list, this means that the place has less than 5,000 residents, and therefore, the site would be eligible. In this instance, please include screenshot documentation.
- d) A provider may be located in an urban facility, but serving patients in HRSA-designated rural areas through telemedicine, as long as the target patient population is exclusively rural, as defined by the HRSA Rural Health Grants Eligibility Analyzer.

All applicants requesting a service delivery site exception (Attachments 9, 10, and 12) must also detail how partnering with the service delivery site will improve health care delivery in rural areas (e.g., by providing provider training and mentorship opportunities for rural health care providers).

FY 2019 and FY 2020 RCORP-Implementation Award Recipients

Applicants that are FY 2019 or FY 2020 recipients as either the applicant organization or a consortium member may not apply for this funding opportunity unless the following conditions are met:

- 1. <u>Target Geographic Rural Service Area</u>: The target geographic rural service area proposed in this application does not overlap with the one currently served by the consortium for the FY 19 or FY 20 RCORP-Implementation award and all proposed services are delivered in the new target rural service area (visit here for a list of current RCORP-Implementation service areas); and
- 2. <u>Consortium Membership:</u> At least 50 percent of the consortium members proposed in this application are physically located in the new service area and are signatories to the letter of commitment (**Attachment 3**).

Applicant Organizations or Consortium Members of Other RCORP Awards
Applicant organizations or consortium members of FY 2018, 2019 or 2020 RCORPPlanning, 2019 RCORP-MAT Expansion and/or 2020 RCORP Neonatal Abstinence
Syndrome must demonstrate that there is no duplication of effort between the proposed
FY 2021 RCORP Implementation application and any previous or current project.
Please see Attachment 7 for additional information and instructions.

2. Cost Sharing/Matching

Cost sharing/matching is not required for this program.

3. Other

HRSA will consider any application that exceeds the ceiling amount non-responsive and will not consider it for funding under this notice.

HRSA will consider any application that fails to satisfy the deadline requirements referenced in <u>Section IV.4</u> non-responsive and will not consider it for funding under this notice.

If for any reason (including submitting to the wrong funding opportunity number or making corrections/updates) an application is submitted more than once prior to the application due date, HRSA will only accept your **last** validated electronic submission, under the correct funding opportunity number, prior to the Grants.gov application due date as the final and only acceptable application. It is the applicant's responsibility to ensure that the application is submitted to HRSA.

NOTE: Organizations may not serve as the applicant organization on more than one FY 2021 RCORP-Implementation application. Only one application can be associated with an EIN or DUNS number.

Exception to Multiple Submissions Policy: In general, multiple applications associated with the same DUNS number and/or EIN are not allowable. However, HRSA recognizes a growing trend towards greater consolidation within the rural health care industry and the possibility that multiple organizations with the same EIN and/or DUNS number could be located in different rural service areas that have a need for SUD/OUD services. Therefore, at HRSA's discretion, separate applications associated with a single DUNS number and/or EIN

may be considered for this funding opportunity if the applicants provide HRSA with the following information in Attachment 8:

- 1. Names, street addresses, EINs, and DUNS numbers of the applicant organizations;
- 2. Name, street address, EIN, and DUNS number of the parent organization;
- 3. Names, titles, email addresses, and phone numbers for points of contact at each of the applicant organizations and the parent organization;
- 4. Proposed RCORP-Implementation service areas for each applicant organization (these should not overlap);
- 5. Justification for why each applicant organization must apply to this funding opportunity separately as the applicant organization, as opposed to serving as consortium members on other applications;
- 6. Assurance that the applicant organizations will each be responsible for the planning, program management, financial management, and decision making of their respective programs, independent of each other and/or the parent organization; and
- 7. Signatures from the points of contact at each applicant organization and the parent organization.

Applications associated with the same DUNS number or EIN must be independently developed and written. HRSA reserves the right to deem applications that provide insufficient information in **Attachment 8** to be ineligible. In this instance, assuming all other eligibility criteria are met, HRSA will only accept the last validated electronic submission associated with the EIN or DUNS number.

Note that this exception does not apply to a single organization (e.g., a parent organization/headquarters) that wants to apply more than once for this funding opportunity on behalf of its satellite offices or clinics.

If multiple entities that share an EIN and/or DUNS apply for this funding opportunity, the applicant organization names (as reflected in Box 8A of the SF-424 Application Page) should be different and reflect the names of the satellite offices/clinics. If HRSA receives multiple FY 2021 RCORP-Implementation applications with the same applicant organization name (as reflected in Box 8A of the SF-424 Application Page), only the last submitted and validated application will be reviewed.

IV. Application and Submission Information

1. Address to Request Application Package

HRSA **requires** you to apply electronically. HRSA encourages you to apply through <u>Grants.gov</u> using the SF-424 workspace application package associated with this notice of funding opportunity (NOFO) following the directions provided at http://www.grants.gov/applicants/apply-for-grants.html.

The NOFO is also known as "Instructions" on Grants.gov. You must select "Subscribe" and provide your email address for each NOFO you are reviewing or preparing in the workspace application package in order to receive notifications including modifications, clarifications, and/or republications of the NOFO on Grants.gov. You will also receive notifications of documents placed in the RELATED DOCUMENTS tab on Grants.gov that may affect the NOFO and your application. You are ultimately responsible for reviewing the For Applicants page for all information relevant to this NOFO.

2. Content and Form of Application Submission

Section 4 of HRSA's <u>SF-424 Application Guide</u> provides instructions for the budget, budget narrative, staffing plan and personnel requirements, assurances, certifications, and abstract. You must submit the information outlined in the Application Guide in addition to the program-specific information below. You are responsible for reading and complying with the instructions included in HRSA's <u>SF-424 Application Guide</u> except where instructed in the NOFO to do otherwise. You must submit the application in the English language and in the terms of U.S. dollars (45 CFR § 75.111(a)).

See Section 8.5 of the *Application Guide* for the Application Completeness Checklist.

Application Page Limit

The total size of all uploaded files included in the page limit may not exceed the equivalent of **80 pages** when printed by HRSA. The page limit includes the abstract, project and budget narratives, attachments, and letters of commitment and support required in the *Application Guide* and this NOFO. Standard OMB-approved forms that are included in the workspace application package do not count in the page limit. Please note: If you use an OMB-approved form that is not included in the workspace application package for HRSA-21-088, it may count against the page limit. Therefore, we strongly recommend you only use Grants.gov workspace forms associated with this NOFO to avoid exceeding the page limit. Indirect Cost Rate Agreement and proof of non-profit status (if applicable) do not count in the page limit.

It is the responsibility of the applicant to take appropriate measures to ensure your application does not exceed the specified page limit.

Applications must be complete, within the specified page limit, and validated by Grants.gov under the correct funding opportunity number prior to the deadline.

Debarment, Suspension, Ineligibility, and Voluntary Exclusion Certification

- 1) You certify on behalf of the applicant organization, by submission of your proposal, that neither you nor your principals are presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from participation in this transaction by any federal department or agency.
- 2) Failure to make required disclosures can result in any of the remedies described in 45 CFR § 75.371, including suspension or debarment. (See also 2 CFR parts 180 and 376, and 31 U.S.C. § 3321).

3) Where you are unable to attest to the statements in this certification, an explanation shall be included in *Attachment 14-15: Other Relevant Documents*.

See Section 4.1 viii of HRSA's <u>SF-424 Application Guide</u> for additional information on all certifications.

Program-Specific Instructions

In addition to application requirements and instructions in Section 4 of HRSA's <u>SF-424</u> <u>Application Guide</u> (including the budget, budget narrative, staffing plan and personnel requirements, assurances, certifications, and abstract), include the following:

Core Activities:

In support of RCORP's goal, and in alignment with the HHS Five-Point Strategy, over the course of the three-year period of performance, consortia must implement all core/required SUD/OUD prevention, treatment, and recovery activities described below. If a consortium is already implementing one or more of the core/required activities within the service area, applicants may propose to expand or enhance those activities.

Prevention Core Activities

- 1. Provide culturally and linguistically appropriate education to improve family members', caregivers', and the public's understanding of evidence-based prevention, treatment, and recovery strategies for SUD/OUD, and to reduce stigma associated with the disease.
- Increase access to naloxone within the rural service area and provide training on overdose prevention and naloxone administration to ensure that individuals likely to respond to an overdose can take the appropriate steps to reverse an overdose.
- 3. Implement year-round drug take-back programs.
- 4. Increase and support the use of school- and community-based prevention programs that are evidence-based to prevent misuse of opioids and other substances.
- 5. Identify and screen individuals at risk for SUD/OUD, and provide or make referrals to prevention, harm reduction, early intervention, treatment, and other support services to minimize the potential for the development of SUD/OUD.

Treatment Core Activities

1. Screen and provide, or refer to, treatment for patients with SUD/OUD who have infectious complications, including HIV, viral hepatitis, and endocarditis, particularly among PWID.

- 2. Recruit, train, and mentor interdisciplinary teams of SUD/OUD clinical and social service providers who are trained, certified, and willing to provide medication-assisted treatment (MAT), including both evidence-based behavioral therapy (e.g., cognitive behavioral therapy, community reinforcement approach, etc.) and the U.S Food and Drug Administration-approved pharmacotherapy (e.g., buprenorphine, naltrexone). This can include providing support for the required training of providers who are pursuing DATA 2000 waivers for the prescription of buprenorphine-containing products and intend to provide these medications to their patients.
- Increase the number of providers, other health and social service professionals, and appropriate paraprofessionals who are able to identify and treat SUD/OUD by providing professional development opportunities and recruitment and retention incentives such as, but not limited to, the NHSC.
- 4. Reduce barriers to treatment, including by supporting integrated treatment and recovery, such as integration efforts between entities such as primary care service providers, behavioral health service providers, the criminal justice system, dentistry, and social services. As appropriate, provide support to pregnant women, children, and other at-risk populations using approaches that minimize stigma and other barriers to care.
- 5. Train and strengthen collaboration with and between law enforcement and first responders to enhance their capability of responding and/or providing emergency treatment to those with SUD/OUD, particularly vulnerable populations within the service area that suffer from health access and outcome disparities.
- 6. Train providers, administrative staff, and other relevant stakeholders to optimize reimbursement for treatment encounters through proper coding and billing across insurance types to ensure long-term financial sustainability of services.
- 7. Enable individuals, families, and caregivers to find, access, and navigate evidence-based, affordable treatments for SUD/OUD, as well as home- and community-based services and social supports.

Recovery Core Activities

- 1. Enhance discharge coordination for people leaving inpatient treatment facilities and/or the criminal justice system who require linkages to home and communitybased services and social supports, including case management, housing, employment, food assistance, transportation, medical and behavioral health services, faith-based organizations, and sober/transitional living facilities with the goal of improving health care in rural areas.
- Expand peer workforce and programming as interventionists in various settings, including hospitals, emergency departments, law enforcement departments, jails, SUD/OUD treatment programs, and in the community.

3. Support the development of recovery communities, recovery coaches, and recovery community organizations to expand the availability of and access to recovery support services.

Consortium Members and Core Activities

While consortia must implement <u>all</u> core/required SUD/OUD prevention, treatment, and recovery activities listed above over the course of the three-year period of performance, the following caveats apply:

- 1. The consortium does not need to implement all core prevention, treatment and recovery activities in **every part** of the target HRSA-designated rural area.
- 2. Individual consortium members do not need to implement all core prevention, treatment and recovery activities, just the **consortium as a whole.**
- 3. Progress should be made on each core/required prevention, treatment, and recovery activity during <u>each year</u> of the award, but activities do not need to be completed until the <u>end of the three year period of performance</u>.

Requirements for Service Provision

All activities funded by this award must exclusively occur in HRSA-designated rural areas, as defined by the Rural Health Grants Eligibility Analyzer. Please note the exceptions under Eligible Applicants. Additionally, award recipients should bill for all services covered by a reimbursement plan and should make every reasonable effort to obtain payments. At the same time, award recipients may not deny services to any individual because of an inability to pay.

Services should aim to eliminate pre-requisites to entering MAT, be individualized to the needs and circumstances of the patient, promote retention in treatment, recognize the need to manage recurrence of substance use and address ambivalence in patient motivation.

Target Population

The target population for this award are: 1) individuals who are at risk for, have been diagnosed with, and/or are in treatment and/or recovery for OUD; 2) their families and/or caregivers; and 3) other community members 10 who reside in HRSA-designated rural areas, as defined by the Rural Health Grants Eligibility Analyzer.

Applicants are encouraged to focus on populations that have historically suffered from poorer health outcomes, health disparities, and other inequities, as compared to the rest of the target population, when addressing SUD in the proposed service area. Examples of these populations include, but are not limited to, racial and ethnic minorities,

¹⁰ Applicants are encouraged to include individuals in the community who are involved in improving health care in rural areas.

people/persons experiencing homelessness, pregnant women, youth and adolescents, etc.

Additional Activities

If capacity exists, award recipients may use funding to implement additional activities that strengthen the consortium's ability to deliver preventive, treatment, and/or recovery services for SUD/OUD in their service area. Applicants must provide detailed descriptions of all additional activities in the Project Narrative, as well as justifications for how those activities will advance RCORP-Implementation's goal and fulfill the needs of the target population. No funding priority or preference is associated with proposing additional activities. Please see **Appendix D** for a non-exhaustive list of allowable additional activities

i. Project Abstract

See Section 4.1.ix of HRSA's SF-424 Application Guide.

The abstract is a one-page, single-spaced, standalone document, and must not refer to other sections of the application. Please include the following information in your abstract (it is recommended that you provide this information in a table format). Please note that the table will not count towards the one-page Project Abstract limit but will count towards the overall application page limit. The Project Abstract is a REQUIRED document.

- 1. Project Title
- 2. Requested Award Amount
- 3. Applicant Organization Name
- 4. Applicant Organization Address
- 5. Applicant Organization Facility Type (e.g., Rural Health Clinic, Critical Access Hospital, Tribe/Tribal Organization, Health System, Institute of Higher Learning, Community-based Organization, Foundation, Rural Health Network, etc.)
- 6. Project Director Name and Title
- 7. Project Director Contact Information (phone and email)
- 8. EIN/DUNS Number Exception Request in **Attachment 8**? (Y/N)
 - Note: HRSA reserves the right to deem applications that provide insufficient information in **Attachment 8**, or are nearly identical in content, to be ineligible. In this instance, assuming all other eligibility criteria are met, HRSA will only accept the last submitted application associated with the EIN or DUNS number.
- 9. How the Applicant **First** Learned About the Funding Opportunity (<u>select one</u>: State Office of Rural Health, HRSA News Release, Grants.gov, HRSA Project Officer, HRSA Website, Technical Assistance Provider, State/Local Health Department)
- 10. Number of Consortium Members & List of Consortium Members
- 11. Previous or Current RCORP Award Recipient? (specify: FY 18 RCORP-Planning Applicant Organization; FY 18 RCORP-Planning Consortium Member; FY 19 RCORP-Planning Applicant Organization; FY 19 RCORP-Planning Consortium Member; FY 20 RCORP-Planning Application Organization; FY 20 RCORP-Planning Consortium Member; FY 19 RCORP-MAT Expansion; FY 19 RCORP-

Implementation Applicant Organization; FY 19 RCORP-Implementation Consortium Member, FY 20 RCORP Implementation Applicant Organization; FY 20 RCORP Implementation Consortium Member, FY 20 RCORP-NAS Applicant Organization; FY 20 RCORP-NAS Consortium Member)

- 12. Brief Description of the Target Population
 - Indicate approximately what percentage (if any) of the target population is Native American
 - If applicable, provide 2-3 sentences regarding how this project specifically targets tribal populations.
- 13. Target Service Area (<u>must be exclusively rural</u>, as defined by the <u>Rural Health</u> <u>Grants Eligibility Analyzer</u>)
 - Fully Rural Counties: Provide the county name and state
 - Partially-Rural Counties: Provide county name, state, <u>and</u> the rural census tract (<u>list of rural census tracts</u>)
- 14. Does target service area overlap with an <u>existing FY 19 or FY 20 RCORP-lmplementation</u> award recipient's service area? (Y/N)
 - If yes, have you included a Letter of Support from the FY 19 or FY 20 RCORP-Implementation award recipient in **Attachment 13**? (Y/N)

ii. Project Narrative

This section provides a comprehensive framework and description of all aspects of the proposed project. It should be succinct, self-explanatory, consistent with forms and attachments, and well-organized so that reviewers can understand the proposed project.

Successful applications will contain the information below. Please use the following section headers for the narrative:

■ INTRODUCTION -- Corresponds to Section V's Review Criterion #1 – "Need"

This section should clearly and succinctly summarize the overarching goals of the proposed project; provides the target rural service area counties and/or rural census tracts; the characteristics and needs of the target population and service area; the consortium's proposed approach to meeting those needs; and the consortium's history of collaborating to address SUD/OUD in a rural area and capacity to implement the proposed project.

 NEEDS ASSESSMENT -- Corresponds to <u>Section V's Review Criterion #1 -</u> "Need"

This section outlines the needs of the target population. Data used to complete this section should derive from appropriate sources (e.g., local, state, tribal, and federal) and reflect the most recent timeframe available. Applicants encountering difficulty obtaining data for certain indicators are encouraged to contact their state or local health departments and/or refer to data and information provided by the Rural Health Information Hub and the Opioid Misuse Community Assessment Tool developed by NORC at the University of Chicago. If you are still unable to locate appropriate and accurate data, please provide an explanation for why the data

could not be found and how you will leverage the RCORP-Implementation award to strengthen the quality and availability of OUD/SUD data in your target rural service area.

Use the following headings in this section as you complete your narrative:

- "RCORP Core Measures"
- "Population Demographics"
- "SUD/OUD Prevalence"
- "Existing SUD/OUD Services and Programs"
- "Gaps and Unmet Needs"

RCORP Core Measures

Use the most recent data sources available to establish baseline number for the RCORP Core Measures listed below. Please cite the data sources (including year) you use to establish baseline numbers. It is **strongly recommended** that you provide this information in table format, with headings for "Measure," "Baseline," and "Data source." If funded, you will be required to continue to track and regularly report on these measures. Include an accompanying narrative that explains reported numbers and highlights any significant issues or characteristics of the rural target service area.

- Core 1: Total population in the project's service area Please report the total number of individuals in your project's service area. NOTE: This is not necessarily the number of people who availed themselves of your services but the number of people in the project's service area. The number reported here will be used by HRSA as a denominator for determining rates based on the numbers reported for core measures 2 through 5 below.
- Core 2: Number of individuals screened for SUD Report the total number of individuals who have been screened for SUD, including OUD, in the past 6 months. Include screenings using evidence-based screening tools such as the CAGE, Michigan Alcohol Screening Test, Drug Abuse Screening Test, or screening methods such as SBIRT: Screening Brief Intervention, and Referral to Treatment or provider-developed screening questions.
- Core 3: Number of non-fatal opioid overdoses in the project's service area Please report the total number of non-fatal overdoses from opioid poisoning in your project's service area in the past 6 months. Include all types (e.g., accidental, intentional, undetermined).
- Core 4: Number of fatal opioid overdoses in the project's service area Report the total number of fatal overdoses from opioid poisoning in your project's service area in the past 6 months. Include cases where opioids are the underlying or contributing cause of death and include all types (e.g., accidental, intentional, undetermined).
- Core 5: Number of health care providers within the project's service area who have a DATA waiver Please report the total number of health care providers within the service area who have a Data Treatment Act 2000 (DATA) waiver to prescribe buprenorphine-containing products for medication-assisted

<u>treatment (MAT)</u>. Additionally, please report the total number of health care providers within your consortium who have a DATA Waiver. Specify by provider type:

- Physicians (MD/DOs, including internal medicine, family medicine, pediatrics, and other specialties)
- Psychiatrists (i.e., physician in the specialty of psychiatry)
- Physician Assistants
- Nurse practitioners
- Clinical nurse specialists
- o Certified nurse-midwives
- o Certified registered nurse anesthetists

Population Demographics

Using quantitative data from appropriate sources (e.g., local, state, tribal, and federal), describe the **target rural population** and, where possible, compare the data for the target population to regional, statewide, and/or national data to demonstrate need. Please cite the data sources (including year) you use to complete the table. It is <u>recommended</u> that you provide this information in table format, with headings for "Measure," "Data for Target Rural Population," "Comparative Data," and "Data Sources and/or explanation for why data could not be provided." Include an accompanying narrative that explains reported numbers and highlights any significant issues or characteristics of the rural target service area. Please include the data in age categories and the source of the data to demonstrate the need of your target population.

- Measure 1: Percentage of target rural population with health insurance or who are uninsured:
- Measure 2: Breakdown of target rural population by race/ethnicity (list percentage by race/ethnicity category)
- Measure 3: Breakdown of target rural population by sex (list percentage by identified category)
- Measure 4: Breakdown of target rural population by age (list percentage by identified category)
- Measure 5: Percentage of target rural population who are unemployed
- Measure 6: Percentage of target rural population who are living below the federal poverty line

OPTIONAL: If available, please provide additional information on any other relevant population demographic indicators that depict the target rural population. Examples include the percentage of target rural population who are unemployed and the percentage of target rural population who are living below the federal poverty line.

SUD/OUD Prevalence

Using quantitative data from appropriate sources (e.g., local, state, tribal, and federal), describe the **SUD/OUD prevalence within the target rural population** and, where possible, compare the data for the target population to regional, statewide, and/or national data to demonstrate need. Please cite the data sources (including year) you use to provide this data. It is <u>recommended</u> that you provide

this information in table format, with headings for "Measure," "Data for Target Rural Population," "Comparative Data," and "Data Sources and/or explanation for why data could not be provided." Include an accompanying narrative that explains reported numbers and highlights any significant issues or characteristics of the rural target service area.

Additionally, please provide the following:

- Data for at least one indicator that depicts the effect of SUD/OUD on the target rural service area. Optional examples include, but are not limited to, the number/ percentage of children in the foster care system as a result of their caregivers' OUD; number of individuals with infectious complications (e.g., HIV, Hepatitis C, etc.) as a result of OUD; the number of SUD/OUD hospitalizations and/or emergency room visits; etc.)
- Data depicting which segments of the target rural population are most at risk for, and/or are most likely to be diagnosed with, OUD. This may include certain age groups, racial/ethnic groups, persons/people experiencing homelessness, etc.

Existing SUD/OUD Services

At a minimum, please include the following information for the **target rural service** area:

- Overview of existing SUD/OUD-related prevention, treatment, and recovery support services, including MAT, and how the applicant organization will avoid duplication of effort; and
- Overview of existing/known federal, state, or locally-funded SUD/OUD initiatives in the target rural service area and how the applicant organization will avoid duplicating efforts funded through other means. This includes other RCORP awards in your service area. Please reference the RCORP website for a list of RCORP award recipients in each program—Planning, Implementation, Neonatal Abstinence Syndrome, and MAT Expansion—as well as this table of RCORP award recipient service areas for more information.
 - Current or past RCORP award recipients must detail how proposed activities funded by RCORP-Implementation will complement versus duplicate—activities funded by previous or current RCORP awards.
 - Applicants are also encouraged to reference Appendix B for information on other SUD/OUD-related initiatives as well as the Office of National Drug Control Policy's Rural Community Toolbox: https://www.ruralcommunitytoolbox.org/funding

Gaps and Unmet Needs

Detail gaps in SUD/OUD-related prevention, treatment, and recovery services and workforce in the **target rural service area**. In addition, describe the extent to which the population you propose to serve includes subpopulations that have historically suffered from poorer health outcomes, health disparities, and other inequities compared to the rest of the target population. These populations may include, but are not limited, to people/persons experiencing homelessness, racial and ethnic minorities, pregnant women, adolescents and youth, etc.

Finally, you should clearly state, using quantitative descriptors to the extent possible, how the potential receipt of funding through this NOFO will increase the scope and magnitude of OUD/SUD service delivery by the consortium, beyond the current baseline level, thereby serving to help close existing treatment gaps.

METHODOLOGY -- Corresponds to <u>Section V's Review Criterion #2 – "Response"</u>

This section outlines the methods that the applicant organization will use to address the stated needs and meet each of the previously described program requirements and expectations in this NOFO. Your methodology should directly link to and reflect the data and information provided in the "Needs Assessment" section of the Project Narrative.

Use the following headings in this section as you complete your narrative:

- "Methods for Fulfilling all Core Activities"
- "Methods for Addressing Health Access and Outcome Disparities"
- "Methods for Sustaining Project Beyond Period of Performance."

Methods for Fulfilling Core and Additional Activities (as outlined under the "Program-Specific Instructions" section of this NOFO):

Describe methods for fulfilling each core activity within RCORP-Implementation's three focus areas—prevention, treatment, and recovery—and any additional activities. Use the following sub-headings for this section:

- "Methods for fulfilling all Prevention Core Activities";
- "Methods for fulfilling all Treatment Core Activities";
- "Methods for fulfilling all Recovery Core Activities"; and
- "Methods for Fulfilling Additional Activities (if applicable)."
 - You must provide a detailed description for any additional prevention, treatment, and/or recovery activities and justify why they are needed and how they will benefit the target population.

Methods for Addressing Health Access and Outcome Disparities

Using the data and information provided in "Gaps and Unmet Needs" subsection of the "Needs Assessment," describe the methods by which your RCORP-Implementation project will address the health access and outcome disparities experienced by vulnerable populations within your target rural service area. You are encouraged to utilize the methods outlined in the National Culturally and Linguistically Appropriate Services Standards (CLAS), among other sources.

Methods for Sustaining Project Beyond Period of Performance

Describe the methods by which you will sustain program activities beyond the period of performance. At a minimum, discuss strategies to:

- Sustain the consortium membership and support;
- Secure target population support and engagement; and
- Leverage partnerships at the local/community, state, and regional levels, including with rural counties and municipalities, health plans, law

enforcement, community recovery organizations, faith-based organizations, and others.

Applicants should also describe the methods by which they will work towards **financial sustainability** after the period of performance. At a minimum, discuss strategies to:

- Optimize reimbursement for services across insurance types;
- Facilitate the health insurance enrollment process for eligible uninsured patients;
- Leverage other funding streams to cover the cost of services (e.g., state and/or judicial coverage of treatment through the criminal justice system);
 and
- Ensure that services will be accessible and affordable to individuals most in need, including the uninsured and underinsured populations, both during and after the period of performance. No individual will be denied services due to an inability to pay.
- WORK PLAN -- Corresponds to <u>Section V's Review Criterion #2 "Response"</u>

This section describes the processes that you will use to achieve the strategies in the "Methodology" section. Note that while the "Methodology" section of the Project Narrative centers on the overall strategy for fulfilling the core/additional activities, the work plan is more detailed and focuses on the tasks, activities, and timelines by which you will execute your strategy.

All work plans should detail the minimum information:

- All core and, if applicable, any additional activities, as outlined in the "Program-Specific Instructions" section of this NOFO;
- Tasks to achieve the core and any additional activities;
- Responsible individual(s) and/or consortium member(s) who will implement the core and any additional activities;
- Timeframes to accomplish the core and any additional activities;
- Brief explanation for how each proposed activity will improve health care delivery in rural areas;
- Deliverables associated with each core activity and any additional activities.

The work plan must reflect a three-year period of performance. At a minimum, timeframes associated with activities should be broken down into quarters. It is not acceptable to list "ongoing" as a timeframe. Note that while award recipients should make progress towards completing each core/required activity during each year of the award, activities do not need to be completed until the end of the three-year period of performance.

Your work plan should also include specific activities related to the tracking and collection of aggregate data and other information from consortium members to fulfill reporting requirements. Finally, you should incorporate processes for achieving financial and programmatic sustainability beyond the period of performance, including the deliverables, responsible individuals and/or consortium

members, and timelines associated with these processes, as well as processes for reducing health access and outcomes within the target rural service area.

Please provide your work plan in **Attachment 1**. (It is appropriate to refer reviewers to **Attachment 1** in this section instead of including the work plan twice in the application.) It is strongly encouraged that you provide your work plan in a table format and that you clearly delineate which deliverables/subactivities correspond to which core and/or additional activities.

RESOLUTION OF CHALLENGES -- Corresponds to <u>Section V's Review Criterion</u>
 #2 - "Response"

Describe challenges that your consortium is likely to encounter in implementing the activities described in the work plan and approaches you will use to resolve each challenge. You should highlight both internal challenges (e.g., maintaining cohesiveness among consortium members) and external challenges (e.g., stigma around SUD/OUD in the target rural service area, securing patient engagement in treatment, geographical limitations, policy barriers, etc.). You must detail potential challenges to sustaining services after the period of performance ends and how your consortium intends to overcome them.

 EVALUATION AND TECHNICAL SUPPORT CAPACITY -- Corresponds to Section V's Review Criteria #3 – Evaluative Measures and #4 – Impact

Describe the process (including staffing and workflow) for how you will track, collect, aggregate, and report data and information from all consortium members to fulfill HRSA <u>reporting requirements</u>. Applicants should also demonstrate that the consortium has the capacity for and commits to working with a HRSA-funded evaluator to take part in a larger, RCORP-wide evaluation. Finally, applicants should clearly describe their plan for updating participating entities, the target rural service area, and the broader public on the program's activities, lessons learned, and success stories. You should provide examples of mediums and platforms for disseminating this information.

It is the applicant organization's responsibility to ensure compliance with HRSA reporting requirements. Applicants should make every reasonable effort to track, collect, aggregate, and report data and information from all consortium members throughout the period of performance. Applicants should designate at least one individual in the staffing plan (**Attachment 5**) to serve as a "Data Coordinator," responsible for coordinating the data collection and reporting process across consortium members. Finally, consortium members should commit to sharing aggregate (not patient-level or other personally identifiable information) performance data and information with the applicant organization to fulfill HRSA reporting requirements in the signed Letter of Commitment (Attachment 3).

ORGANIZATIONAL INFORMATION -- Corresponds to <u>Section V's Review Criteria</u>
 #3 – Evaluative Measures and #5 – Resources and Capabilities

This section provides insight into the organizational structure of the consortium and the consortium's ability to implement the activities outlined in the work plan.

NOTE: It is appropriate to refer reviewers to the relevant attachment(s) in this section instead of including the information twice in the application. Applicants should include the following information:

Consortium Membership (Attachment 2)

For each member of the consortium reflected on the proposed work plan, include the following (list the applicant organization first). It is **highly encouraged** that you provide this information in a table format:

- Consortium member organization name;
- Consortium member organization street address;
- Consortium member organization county;
- Consortium member primary point of contact at organization (name, title, email);
- Consortium member organization EIN and DUNS. The consortium must consist of at least four separately owned (i.e., different EINs) entities, including the applicant organization. Tribal entities may be exempt from this requirement;
- Service delivery sites (street address, including county) defining where services <u>for the RCORP-Implementation award</u> will be administered. All services must be exclusively provided in HRSA-designated rural areas, as defined by the <u>Rural Health Grants Eligibility Analyzer</u> (please note the exceptions on page 16-17),
- Sector (e.g., health care, public health, education, law enforcement, tribal entity, etc.). Consortium membership should be diverse and encompass more than one sector;
- Current and/or previous RCORP awards received (list award name, year, and whether the entity served as the applicant organization or consortium member);
- Specify (yes/no) whether consortium member is a National Health Service Corps (NHSC) site or NHSC-eligible site (see https://nhsc.hrsa.gov/sites/eligibility-requirements.html for more details);
- Specify (yes/no) whether consortium member is located in a HRSAdesignated rural county or rural census tract of an urban county, as defined by the <u>Rural Health Grants Eligibility Analyzer</u>. As a reminder, at least 50 percent of consortium members must be located in a HRSA-designated <u>rural area</u>; and
- Specify (yes/no) whether consortium member has signed the Letter of Commitment (**Attachment 3**).

Consortium Letter of Commitment (Attachment 3)

All consortium members reflected in the proposed work plan, including the applicant organization, must sign and date a **single** letter of commitment (**Attachment 3**) that delineates the expertise, roles, responsibilities, and

commitments of each consortium member. At least four separately owned entities, including the applicant organization, must sign the letter of commitment, and at least 50 percent of signatories must be physically located in HRSA-designated rural areas, as defined by the Rural Health Grants Eligibility Analyzer. Consortium members must represent diverse sectors and disciplines. Electronic signatures are acceptable. If you are unable to obtain a given signature, please provide a brief explanation why.

The letter of commitment must identify each consortium member organization's roles and responsibilities in the project, the activities in which they will be included, how the organization's expertise is pertinent to the project, and the length of commitment to the project. The letter must also include a statement indicating that consortium members understand that the RCORP-Implementation award is to be used for the activities proposed in the work plan; that the activities must exclusively benefit populations in the target rural service area; and that the award is not to be used for the exclusive benefit of any one consortium member. Finally, consortium members should commit to sharing aggregate (not patient-level or other personally identifiable information) performance data and information with the applicant organization to fulfill HRSA reporting requirements. Stock or form letters are not recommended.

Letters of Commitment should be submitted as part of the electronic application package through Grants.gov. HRSA will not accept or consider Letters of Commitment or Support received through other means, including through the mail, e-mail, etc.

Organizational Chart (Attachment 4)

Provide a one-page organizational chart that clearly depicts the relationships and/or hierarchy among all consortium members participating in the project.

Staffing Plan (Attachment 5)

Provide a clear and coherent staffing plan that includes the following information for each proposed project staff member that is reflected in the proposed work plan (it is recommended that you provide this information in a table format):

- Name;
- Title;
- Organizational affiliation;
- Full-time equivalent (FTE) devoted to the project;
- Roles/responsibilities on the project; and
- Timeline and process for hiring/onboarding, if applicable.

The staffing plan should directly link to the activities proposed in the work plan. If a staff member has yet to be hired (TBH), please put "TBH" in lieu of a name and detail the process and timeline for hiring and onboarding the new staff, as well as the qualifications and expertise required by the position. All key staff associated with the project should be hired within 90 days of the project start date.

All staffing plans should include a Project Director and a Data Coordinator (although not recommended, the same individual can serve both roles):

- Project Director: The Project Director is the point person on the award and makes staffing, financial, and other decisions to align project activities with project outcomes. You should detail how the Project Director will facilitate collaborative input and engagement across consortium members to complete the proposed work plan during the period of performance. The Project Director is a key staff member and an FTE of at least 0.25 is required for this position. If awarded, the Project Director is expected to attend monthly calls with HRSA/Technical Assistance team. If the Project Director serves as a Project Director for other federal awards, please list the federal awards as well as the percent FTE for that respective federal award. Any given staff member, including the Project Director, may not bill for more than 1.0 FTE across federal awards.
 - More than one Project Director is allowable in the staffing plan. However, only one Project Director can be designated in Box 8f of the SF-424A Application Page. If awarded, this is the Project Director who will be officially reflected in the Notice of Award. If there is more than one Project Director, a total FTE of at least 0.25 between the two Project Directors is allowable.
- <u>Data Coordinator</u>: The Data Coordinator is responsible for tracking, collecting, aggregating, and reporting quantitative and qualitative data and information from consortium members to fulfill HRSA's quarterly and biannual <u>reporting requirements</u>. Note that this position does not necessarily entail analyzing the data or utilizing the data to inform process or quality improvement. There is no minimum FTE for this position.

Staff Biographical Sketches (Attachment 6)

All proposed staff members should have the appropriate qualifications and expertise to fulfill their roles and responsibilities on the award. For each staff member reflected in the staffing plan, provide a brief biographical sketch (not to exceed one page per staff member) that directly links their qualifications and experience to their designated RCORP-Implementation project activities. The names reflected in the staffing plan must align with the names identified in the biographical sketches

If a staff member will serve two separate and distinct roles on the award that do not overlap, please submit two separate biosketches for that individual. Please note that the individual must not exceed 1.0 FTE.

iii. Budget

See Section 4.1.iv of HRSA's <u>SF-424 Application Guide</u>. Please note: the directions offered in the SF-424 Application Guide may differ from those offered by Grants.gov. Follow the instructions included in the Application Guide and the additional budget instructions provided below. A budget that follows the Application Guide will ensure that, if HRSA selects the application for funding, you will have a well-organized plan and by carefully following the approved plan can avoid audit issues during the implementation phase.

Reminder: The Total Project or Program Costs are the total allowable costs (inclusive of direct **and** indirect costs) incurred by the recipient to carry out a HRSA-supported project or activity. Total project or program costs include costs charged to the award and costs borne by the recipient to satisfy a matching or cost-sharing requirement, as applicable.

The Further Consolidated Appropriations Act, 2020 (P.L. 116-94), Division A, § 202 states, "None of the funds appropriated in this title shall be used to pay the salary of an individual, through a grant or other extramural mechanism, at a rate in excess of Executive Level II." See Section 4.1.iv Budget – Salary Limitation of HRSA's <u>SF-424 Application Guide</u> for additional information. Note that these or other salary limitations may apply in the following fiscal years, as required by law.

Indirect costs are those costs incurred for common or joint objectives, which cannot be readily and specifically identified with a particular project or program but are necessary to the operations of the organization, e.g., the cost of operating and maintaining facilities, depreciation, and administrative salaries. For some institutions, the term "facilities and administration" (F&A) is used to denote indirect costs. If your organization does not have an indirect cost rate, you may wish to obtain one through HHS's Cost Allocation Services (CAS) (formerly the Division of Cost Allocation (DCA)). Visit CAS's website to learn more about rate agreements, the process for applying for them, and the regional offices, which negotiate them. If indirect costs are included in the budget, attach a copy of the indirect cost rate agreement. If the indirect cost rate agreement is required per the NOFO, it will not count toward the page limit. Any non-federal entity that has never received a negotiated indirect cost rate, (except a governmental department or agency unit that receives more than \$35 million in direct federal funding) may elect to charge a de minimis rate of 10 percent of modified total direct costs (MTDC) which may be used indefinitely. If chosen, this methodology once elected must be used consistently for all federal awards until such time as a non-federal entity chooses to negotiate for a rate, which the non-federal entity may apply to do at any time.

In addition, RCORP-Implementation requires the following:

- 1. **Technical Assistance Workshop:** Applicants should budget for two individuals to travel annually to a conference/workshop located in the Washington, DC area. If funded, more information will be provided upon receipt of award.
- 2. **Annual Regional Meeting.** Applicants should budget for a two-day meeting per period of performance in your regional area.

Project officers will work with award recipients to make any budget adjustments if necessary once the details of these meetings are finalized.

iv. Budget Narrative

See Section 4.1.v. of HRSA's SF-424 Application Guide.

In addition, RCORP-Implementation program requires the following:

RCORP-Implementation award recipients will receive the full award amount in the first year, but must allocate the award funding across each year of the three-year period of performance.

Reminder: The Budget, SF-424A, and Budget Narrative amounts must align and cannot exceed the budget ceiling amount.

NARRATIVE GUIDANCE

To ensure that you fully address the review criteria, this table provides a crosswalk between the narrative language and where each section falls within the review criteria. Any attachments referenced in a narrative section may be considered during the objective review.

Narrative Section	Review Criteria
Introduction	(1) Need
Needs Assessment	(1) Need
Methodology	(2) Response
Work Plan	(2) Response
Resolution of Challenges	(2) Response
Evaluation and Technical Support Capacity	(3) Evaluative Measures and (4) Impact
Organizational Information	(3) Evaluative Measures and
	(5) Resources/Capabilities
Budget and Budget Narrative	(6) Support Requested – the budget section should include sufficient justification to allow reviewers to determine the reasonableness of the support requested.

v. Attachments

Provide the following items in the order specified below to complete the content of the application. **Unless otherwise noted, attachments count toward the application page limit.** Indirect cost rate agreements and proof of non-profit status (if applicable) will not count toward the page limit. **Clearly label each attachment**.

Attachment 1: Work Plan

Attach the work plan for the project that includes all information detailed in Section IV.2.ii. Project Narrative.

Attachment 2: Consortium Membership

Attach the information for each consortium member detailed in <u>Section IV.2.ii.</u>

<u>Project Narrative</u>. As a reminder, the consortium must consist of at least four

separately owned entities (i.e., different EINs), including the applicant organization, must be located in a HRSA-designated rural area, as defined by the Rural Health Grants Eligibility Analyzer.

Attachment 3: Letter of Commitment

Attach a **single** letter of commitment signed by all consortium members reflected in the proposed work plan, including the applicant organization that delineates the expertise, roles, responsibilities, and commitments of each consortium member. At least four separately owned entities, including the applicant organization, must sign the letter of commitment, and at least 50 percent of signatories must be physically located in HRSA-designated rural areas, as defined by the <u>Rural Health</u> <u>Grants Eligibility Analyzer</u>. Electronic signatures are acceptable. If you are unable to obtain a given signature, please provide a brief explanation why.

The letter of commitment must identify each consortium member organization's roles and responsibilities in the project, the activities in which they will be included, how the organization's expertise is pertinent to the project, and the length of commitment to the project. The letter must also include a statement indicating that consortium members understand that the RCORP-Implementation award is to be used for the activities proposed in the work plan; that the activities must exclusively benefit populations in the target rural service area; and that the award is not to be used for the exclusive benefit of any one consortium member. Finally, consortium members should commit to sharing aggregate (<u>not</u> patient-level or other personally identifiable information) performance data and information with the applicant organization to fulfill HRSA <u>reporting requirements</u>. Stock or form letters are not recommended.

Attachment 4: Organizational Chart

Attach the one-page organizational chart in accordance with the instructions provided in Section IV.2.ii. Project Narrative.

Attachment 5: Staffing Plan

Attach the staffing plan that includes all of the information detailed in <u>Section IV.2.ii</u>. <u>Project Narrative</u>. As a reminder, all staffing plans should include a Project Director and a Data Coordinator position (the same individual may serve both roles).

Attachment 6: Staff Biographical Sketches

Attach brief biographical sketches (not to exceed one page per staff member) for each of the staff members listed on the staffing plan in accordance with the instructions provided in <u>Section IV.2.ii</u>. <u>Project Narrative</u>.

Attachment 7: Other RCORP Awards (if applicable)

Provide the following information for each additional past or current RCORP award the applicant organization has received (it is recommended you provide this information in a table format):

- Name of RCORP award (e.g., RCORP-Planning)
- Dates of award (e.g., September 30, 2018 to September 29, 2019)
- Indicate whether you serve/d as the applicant organization or consortium

member

- Target rural service area for past or current RCORP award
 - o For fully rural counties, list the county and state
 - For partially rural counties, list the county, state, and eligible rural census tract(s)
- Target rural service area for proposed FY 20 RCORP-Implementation award
 - o For fully rural counties, list the county and state
 - For partially rural counties, list the county, state, and eligible rural census tract(s)
- List of consortium members for past or current RCORP award
- List of consortium members for proposed FY 20 RCORP-Implementation award
- Detail how, if funded, activities performed under the RCORP-Implementation award will complement—and not duplicate—activities performed under current or previous RCORP awards.

Note that an applicant organization who is a current recipient of an FY 19 or FY 20 RCORP-Implementation award, as either the applicant organization or consortium member, is not eligible to apply for this funding opportunity unless certain criteria are met, as detailed in the <u>Eligibility Section</u> of this NOFO.

Attachment 8: EIN/DUNS Number Exception Request (if applicable)

In general, multiple applications associated with the same DUNS number and/or EIN are not allowable. However, HRSA recognizes a growing trend towards greater consolidation within the rural health care industry and the possibility that multiple organizations with the same EIN and/or DUNS number could be located in different rural service areas that have a need for SUD/OUD services. Therefore, at HRSA discretion, separate applications associated with a single DUNS number and/or EIN may be considered for this funding opportunity if the applicants provide HRSA with the following information in Attachment 8:

- 1. Names, street addresses, EINs, and DUNS numbers of the applicant organizations;
- 2. Name, street address, EIN, and DUNS number of the parent organization;
- 3. Names, titles, email addresses, and phone numbers for points of contact at each of the applicant organizations and the parent organization;
- 4. Proposed RCORP-Implementation service areas for each applicant organization (these should not overlap);
- 5. Justification for why each applicant organization must apply to this funding opportunity separately as the applicant organization, as opposed to serving as consortium members on other applications;
- 6. Assurance that the applicant organizations will each be responsible for the planning, program management, financial management, and decision making of their respective projects, independent of each other and/or the parent organization; and
- 7. Signatures from the points of contact at each applicant organization and the parent organization.

Applications associated with the same DUNS number or EIN should be independently developed and written. HRSA reserves the right to deem applications that provide insufficient information in **Attachment 8**, or are nearly identical in content, to be ineligible. In this instance, assuming all other eligibility criteria are met, HRSA will only accept the last submitted application associated with the EIN or DUNS number.

If multiple entities that share an EIN and/or DUNS apply for this funding opportunity, the applicant organization names (as reflected in Box 8A of the SF-424 Application Page) should be different and reflect the names of the satellite offices/clinics. If HRSA receives multiple FY 2021 RCORP-Implementation applications with the same applicant organization name (as reflected in Box 8A of the SF-424 Application Page), only the last submitted and validated application will be reviewed.

Attachment 9: Proof of Service Delivery Site--Critical Access Hospitals (if applicable)

CAHs not located in HRSA-designated rural areas (if applicable) All services provided by the RCORP-NAS award must exclusively occur in HRSA-designated rural areas. However, applicants who wish to exercise this exception must provide:

- 1. The six-digit CMS Certification Number/Medicare Provider Number for the relevant service delivery site(s). If the service delivery site has been recently designated a CAH (less than a year ago), please submit the CAH approval letter from CMS.
- 2. Justification for how partnering with the service delivery site will improve health care delivery in rural areas (e.g., by providing provider training and mentorship opportunities for rural health care providers).

Attachment 10: Proof of Service Delivery Site—SHIP-eligible entities (if applicable):

All services provided by the RCORP-Implementation award must exclusively occur in HRSA-designated rural areas. However, entities eligible to receive SHIP funding and that are not located in HRSA-designated rural areas may serve as service delivery sites for RCORP- Implementation projects. Eligible entities include hospitals that are non-federal, short-term general acute care and that: (i) are located in a rural area as defined in 42 U.S.C. 1395ww(d) and (ii) have 49 available beds or less, as reported on the hospital's most recently filed Medicare Cost Report. Applicants who wish to exercise this exception must provide:

- 1. The six-digit CMS Certification Number/Medicare Provider Number for the relevant service delivery site(s).
- 2. Justification for how partnering with the service delivery site will improve health care delivery in rural areas (e.g., by providing provider training and mentorship opportunities for rural health care providers).

Attachment 11: Letter from Urban Parent Organization (if applicable)

For consortium members located in HRSA-designated rural areas, but that share an EIN with an urban headquarters, in order for that consortium member to be considered "rural," the urban parent organization must assure FORHP via a signed letter on organization letterhead that, for the RCORP-Implementation award, they will exert no control over or demand collaboration with the rural entity.

Attachment 12: Proof of Service Delivery Site—Partially Rural Counties (if applicable):

For applicant organizations whose service area encompasses partially rural counties, as determined by the Rural Health Grants Eligibility Analyzer, service delivery sites may be located in an urban portion of the partially rural county if the service delivery site is located in an incorporated city, town, or village, or unincorporated census-designated place (CDP), with 49,999 or fewer people, as confirmed by the census website (2010 Census). Applicants who wish to exercise this exception must provide:

1. A screenshot from the census website (2010 Census) documenting that the service delivery site(s)' location meets the above criterion. If the applicant searches a place and it does not appear in the Quick Facts dropdown list, this means that the place has less than 5,000 residents, and therefore, the site would be eligible. In this instance, please include screenshot documentation, similar to the example below:



2. Justification for how partnering with the service delivery site will improve health care delivery in rural areas (e.g., by providing provider training and mentorship opportunities for rural health care providers).

Attachment 13: Letter of Support (if applicable)

If there is a current FY 2019 or FY 2020 RCORP-Implementation award in the target rural county and HRSA rural service area (visit here for list of existing RCORP-Implementation service areas), please provide a signed and dated Letter of Support from the current FY 2019 or 2020 RCORP-Implementation award recipient with the following:

 Acknowledgement and statement from the current FY 2019/2020 award recipient that they are aware that the applicant is applying for a FY 2021 RCORP-Implementation award.

- Acknowledgement and statement from the current FY 2019/2020 award recipient attesting that there will be no duplication of services provided within the service area and/or duplication in the target population served.
- Information from the FY 2019/2020 RCORP-Implementation award recipient regarding their current prevention, treatment, and recovery services within the overlapping services area, when the services will end, and a commitment to collaborate with FY 2021 RCORP-Implementation applicant.

Note: If it is not possible to receive a Letter of Support, the applicant must provide justification on why you were unable to provide the documentation.

Attachments 14-15: Other Documents (if applicable)

If applicable, include other relevant documents including indirect cost rate agreements, letters of support from non-consortium members, etc.

3. Dun and Bradstreet Data Universal Numbering System (DUNS) Number Transition to the Unique Entity Identifier (UEI) and System for Award Management (SAM)

You must obtain a valid DUNS number, also known as the Unique Entity Identifier (UEI), and provide that number in the application. At a future, to-be-determined date, the *DUNS number will be replaced by the UEI, a "new, non-proprietary identifier" requested in, and assigned by, the System for Award Management (SAM.gov). For more details, visit the following pages: Planned UEI Updates in Grant Application Forms and General Service Administration's UEI Update.

You must also register with SAM and continue to maintain active SAM registration with current information at all times during which you have an active federal award or an application or plan under consideration by an agency (unless the applicant is an individual or federal agency that is exempted from those requirements under 2 CFR § 25.110(b) or (c), or has an exception approved by the agency under 2 CFR § 25.110(d)).

If you are chosen as a recipient, HRSA would not make an award until you have complied with all applicable DUNS (or UEI) and SAM requirements and, if you have not fully complied with the requirements by the time HRSA is ready to make an award, you may be deemed not qualified to receive an award and use that determination as the basis for making an award to another applicant. In addition, award recipients must notify consortium members who will be serving as subcontractors/subrecipients that they must be registered in SAM. The award recipient must provide the consortium member with their DUNS number.

If you have already completed Grants.gov registration for HRSA or another federal agency, confirm that the registration is still active and that the Authorized Organization Representative (AOR) has been approved.

*Currently, the Grants.gov registration process requires information in three separate systems:

- Dun and Bradstreet (http://www.dnb.com/duns-number.html)
- System for Award Management (SAM) (https://www.sam.gov)
- Grants.gov (http://www.grants.gov/)

For further details, see Section 3.1 of HRSA's SF-424 Application Guide.

<u>SAM.GOV</u> ALERT: For your SAM.gov registration, you must submit a <u>notarized letter</u> appointing the authorized Entity Administrator. The review process changed for the Federal Assistance community on June 11, 2018.

In accordance with the Federal Government's efforts to reduce reporting burden for recipients of federal financial assistance, the general certification and representation requirements contained in the Standard Form 424B (SF-424B) – Assurances – Non-Construction Programs, and the Standard Form 424D (SF-424D) – Assurances – Construction Programs, have been standardized federal-wide. Effective January 1, 2020, the forms themselves are no longer part of HRSA's application packages and the updated common certification and representation requirements will be stored and maintained within SAM. Organizations or individuals applying for federal financial assistance as of January 1, 2020, must validate the federally required common certifications and representations annually through SAM located at SAM.gov.

If you fail to allow ample time to complete registration with SAM or Grants.gov, you will not be eligible for a deadline extension or waiver of the electronic submission requirement.

4. Submission Dates and Times

Application Due Date

The due date for applications under this NOFO is *March 12, 2021 at 11:59 p.m. ET*. HRSA suggests submitting applications to Grants.gov at least **3 calendar days before the deadline** to allow for any unforeseen circumstances. See Section 8.2.5 – Summary of emails from Grants.gov of HRSA's <u>SF-424 Application Guide</u> for additional information.

5. Intergovernmental Review

RCORP-Implementation is a program subject to the provisions of Executive Order 12372, as implemented by 45 CFR part 100.

See Section 4.1 ii of HRSA's SF-424 Application Guide for additional information.

6. Funding Restrictions

You may request funding for a three-year period of performance for a ceiling amount of up to \$1,000,000 (inclusive of direct and indirect costs).

The General Provisions in Division A of the Further Consolidated Appropriations Act, 2020 (P.L. 116-94) and Continuing Appropriations Act, 2021 and Other Extensions Act

(P.L. 116-159) are in effect at the time this NOFO is posted. Please see Section 4.1 of HRSA's *SF-424 Application Guide* for additional information. Awards will be made subsequent to enactment of the FY 2021 appropriation. The NOA will reference the FY 2021 appropriation act and any restrictions that may apply. Note that these or other restrictions will apply as required by law in subsequent appropriations acts for FY 2021.

You cannot use funds under this notice for the following purposes:

- To acquire real property;
- To purchase syringes;
- To supplant any services that already exist in the service area;
- For construction; and
- To pay for any equipment costs not directly related to the purposes of this award.

You are required to have the necessary policies, procedures, and financial controls in place to ensure that your organization complies with all legal requirements and restrictions applicable to the receipt of federal funding including statutory restrictions on use of funds for lobbying, executive salaries, gun control, abortion, etc. Like those for all other applicable award requirements, the effectiveness of these policies, procedures, and controls is subject to audit.

All program income generated as a result of awarded funds must be used for approved project-related activities. The program income alternative applied to the award(s) under the program will be the addition/additive alternative. You can find post-award requirements for program income at 45 CFR § 75.307.

Minor Alteration and Renovation (A/R) Costs

Minor alteration and renovation (A/R) costs to enhance the ability of the consortium to deliver SUD/OUD services are allowable, but must not exceed \$200,000 total over the three-year period of performance (or 20 percent of the total award amount). Additional post-award submission and review requirements apply if you propose to use RCORP-Implementation funding toward minor A/R costs. You may not begin any minor A/R activities or purchases until you receive HRSA approval. You should develop appropriate contingencies to ensure delays in receiving HRSA approval of your minor A/R plans do not affect your ability to execute work plan activities and HRSA deliverables on time.

Examples of minor A/R include, but are not limited to:

- Reconfiguring space to facilitate co-location of SUD, mental health, and primary care services teams;
- Creating space to deliver virtual care that supports accurate clinical interviewing and assessment, clear visual and audio transmission, and ensures patient confidentiality;
- Creating or improving spaces for patients to participate in counseling and group visit services, and to access and receive training in self-management tools; and
- Modifying examination rooms to increase access to pain management options, such as chiropractic, physical therapy, acupuncture, and group therapy services.

The following activities are not categorized as minor A/R:

Construction of a new building;

- Installation of a modular building;
- Building expansions;
- Work that increases the building footprint; and
- Significant new ground disturbance.

RCORP-Implementation award funds for minor renovations may not be used to supplement or supplant existing renovation funding; funds must be used for a new project. Pre-renovation costs (Architectural & Engineering costs prior to 90 days before the budget period start date) are unallowable.

Telehealth Infrastructure

If a service delivery site is located in an urban setting, the applicant organization may use RCORP-Implementation funds to purchase telehealth infrastructure for that site if the infrastructure will exclusively be used to provide services to rurally-located facilities within the target HRSA-designated rural service area.

Mobile Units or Vehicles

Mobile units or vehicles purchased with RCORP-Implementation award funds must be reasonably priced and used exclusively to carry out award activities. Additional post-award submission and review requirements apply if you propose to use RCORP-Implementation funding toward mobile units or vehicles. You may not begin any purchases until you receive HRSA approval. You should develop appropriate contingencies to ensure delays in receiving HRSA approval of your mobile unit or vehicle purchase do not affect your ability to execute work plan activities and HRSA deliverables on time.

Participant Support Costs

Participant support costs—i.e., direct costs for items such as stipends or subsistence allowances, travel allowances, and registration fees paid to or on behalf of participants or trainees (but not employees) in connection with conferences, or training projects—are allowable costs, subject to HRSA review and approval upon receipt of award.

Employees refer to individuals directly employed on an hourly, salaried or employment contract basis by the applicant organization/award recipient. Individuals employed by subcontractors, consortium members and subrecipients are not included in this definition.

Medication

Food and Drug Administration (FDA)-approved opioid agonist medications (e.g., methadone, buprenorphine products including buprenorphine/naloxone combination and buprenorphine mono-product formulations) for the maintenance treatment of OUD, opioid antagonist medication (e.g., naltrexone products) to prevent relapse to opioid use, and naloxone to treat opioid overdose are all allowable costs under RCORP-Implementation.

Payer of Last Resort

If awarded, recipients may use RCORP-Implementation funding as a payer of last resort – i.e., all services covered by reimbursement should be billed and every effort should be

made to obtain payment from third-party payers. Only after award recipients receive a final determination from the insurer regarding lack of full reimbursement can the RCORP-Implementation award be used to cover the cost of services for underinsured individuals. RCORP-Implementation award funds can also be used to cover the cost of services for uninsured patients.

RCORP-Implementation funds cannot be used for the following purposes:

- To supplant existing funding sources;
- To pay down bad debt. Bad debt is debt that has been determined to be uncollectable, including losses (whether actual or estimated) arising from uncollectable accounts and other claims. Related collection and legal costs arising from such debts after they have been determined to be uncollectable are also unallowable.
- To pay the difference between the cost to a provider for performing a service and the provider's negotiated rate with third-party payers (i.e., anticipated shortfall).

V. Application Review Information

1. Review Criteria

HRSA has procedures for assessing the technical merit of applications to provide for an objective review and to assist you in understanding the standards against which your application will be reviewed. HRSA has critical indicators for each review criterion to assist you in presenting pertinent information related to that criterion and to provide the reviewer with a standard for evaluation.

These criteria are the basis upon which the reviewers will evaluate and score the merit of the application. The entire proposal will be considered during objective review.

Review criteria are used to review and rank applications. RCORP-Implementation has six review criteria. See the review criteria outlined below with specific detail and scoring points.

Criterion 1: NEED (20 points) – Corresponds to Section IV's <u>"Introduction"</u> and <u>"Needs Assessment"</u>

- The extent to which the applicant clearly states the service area counties and/or rural census tract and summarizes the characteristics and needs of the target rural population and service area(s) in the "Introduction" section of the Program Narrative;
- The quality and extent to which the applicant organization clearly and succinctly summarizes the goals of the proposed project and the consortium's approach and capacity to meet those goals, including their history of collaborating to address SUD/OUD;

- The extent to which the applicant organization demonstrates that the population it proposes to serve includes subpopulations (rural ethnic and racial minorities and/or other vulnerable populations) that have historically suffered from poorer health outcomes, health disparities, and other inequities compared to the rest of the target population;
- The extent to which the applicant either provides the requested data and information outlined in the "Needs Assessment" section of the Project Narrative or, if the applicant is unable to locate appropriate and accurate data, the extent to which they provide an explanation for why the data could not be found and how they will leverage the RCORP-Implementation award to strengthen the quality and availability of OUD/SUD data in their target rural service area;
- The extent to which the applicant demonstrates how the potential receipt of funding through this NOFO will increase the scope and magnitude of OUD/SUD service delivery by the awardee consortium, beyond the current baseline level, thereby serving to help close existing treatment gaps;
- The quality and appropriateness of the sources used to provide the data/information in the "Needs Assessment" section of the Project Narrative; and
- The extent to which the data/information the applicant provides in the "Needs Assessment" section of the Project Narrative demonstrates the relatively high need for RCORP-Implementation-funded prevention, treatment, and recovery services in the target rural population as compared to the rest of the state, region, and/or nation.

Criterion 2: RESPONSE (30 points) – Corresponds to Section IV's <u>"Methodology,"</u> <u>"Work Plan,"</u> and <u>"Resolution of Challenges"</u>

Methodology (10 points):

- The clarity and comprehensiveness of the applicant's proposed methods for fulfilling all core activities, as outlined in Section IV.2 of the NOFO;
 - If applicable, the extent to which the applicant details methods for fulfilling any additional activities and provides compelling justification for how those activities will advance RCORP's goal and fulfill the needs of the target population;
- The appropriateness of the methods proposed for fulfilling all core and additional activities given the needs and characteristics of the target population.
- The clarity and comprehensiveness of the applicant's proposed methods to address the health access and outcome disparities experienced by vulnerable populations within the target rural service area.
- The clarity and comprehensiveness of the applicant's proposed methods to ensure sustainability of the proposed activities beyond the period of performance, including its proposed methods to:
 - Sustain consortium membership and support;
 - Secure target population support and engagement;
 - Leverage local/community, state, and regional partnerships;
 - Optimize reimbursement for services across insurance types and facilitate the health insurance process for eligible uninsured patients;
 - Leverage other funding streams to cover the cost of services; and
 - Ensure that services are accessible and affordable to individuals most in need, including the uninsured and underinsured.

Work Plan (15 points):

- The clarity and completeness of the proposed work plan, including its inclusion of the responsible individuals and/or consortium members, timeframes (must be quarterly), details on how each proposed task will improve health care delivery in rural areas, activities to achieve core strategies and deliverables associated with each core activity and, if applicable, additional activity;
- The extent to which the work plan reflects a three-year period of performance;
- The extent to which timeframes in the work plan are, at a minimum, broken down into quarters;
- The extent to which the work plan incorporates processes for reducing health access and outcome disparities within the target rural service area;
- The extent to which the work plan details processes for achieving financial and programmatic sustainability beyond the period of performance, including the deliverables, responsible individuals and/or consortium members, and timelines associated with these processes; and
- The extent to which the work plan includes specific activities related to the tracking and collection of aggregate data and other information from consortium members to fulfill reporting requirements.

Resolution of Challenges (5 points):

- The extent to which the applicant describes both internal and external challenges they are likely to face in implementing their proposed work plan, and the quality of the solutions proposed to address them; and
- The extent to which the applicant details potential challenges and solutions to sustaining services after the period of performance ends.

Criterion 3: EVALUATIVE MEASURES (10 points) – Corresponds to Section IV's <u>"Evaluation and Technical Support"</u> and <u>"Organizational Information"</u>

- The clarity and comprehensiveness of the applicant's proposed processes (including staffing and workflow) for tracking, collecting, aggregating, and reporting data and information from all consortium members to fulfill HRSA reporting requirements;
- The extent to which the applicant designates at least one individual in the staffing plan (**Attachment 5**) to serve as a "Data Coordinator"; and
- The extent to which the Letter of Commitment (**Attachment 3**) contains an explicit commitment by consortium members to sharing aggregate (<u>not</u> patient-level or other personally identifiable information) performance data and information with the applicant organization to fulfill HRSA reporting requirements.

Criterion 4: IMPACT (10 points) – Corresponds to Section IV's <u>"Evaluation and Technical Support"</u>

- The clarity and comprehensiveness of the applicant's proposed plan for updating participating entities, the target rural service area, and the broader public on the program's activities, lessons learned, and success stories; and
- The extent to which the applicant provides examples of mediums and platforms for disseminating this information.

- The extent to which the applicant demonstrates that the consortium is comprised
 of at least four separately owned (i.e., different EINs) entities, including the
 applicant organization (see Attachment 2);
 - Note: Tribal applicants are exempt from this requirement (applicant organizations will indicate whether they are a tribal entity in the Project Abstract). Applicants who meet this exception should not be penalized for not meeting this criteria during the review process
- The extent to which the applicant demonstrates that at least 50 percent of the consortium members are physically located in HRSA-designated rural areas, as defined by Rural Health Grants Eligibility Analyzer (see Attachment 2);
- The extent to which consortium members represent diverse sectors and disciplines;
- The extent to which the applicant demonstrates that all services will be provided exclusively in HRSA-designated rural areas, as defined by Rural Health Grants Eligibility Analyzer or meets the exception requirements (Attachments 9, 10,12);
- The extent to which all consortium members reflected in the proposed work plan, including the applicant organization, have signed and dated a <u>single</u> letter of commitment (**Attachment 3**) that contains, at a minimum, the following elements:
 - Description of each consortium member organization's roles and responsibilities in the project, the activities in which they will be included, how the organization's expertise is pertinent to the project, and the length of commitment to the project;
 - A statement indicating that consortium members understand that the RCORP-Implementation award is to be used for the activities proposed in the work plan; that the activities must exclusively benefit populations in the target rural service area; and that the award is not to be used for the exclusive benefit of any one consortium member; and
 - An explicit commitment by consortium members to sharing aggregate (<u>not</u> patient-level or other personally identifiable information) performance data and information with the applicant organization to fulfill HRSA reporting requirements.
 - Note: Tribal applicants are exempt from the four separate EINs requirement.
 - o If applicable, consortium members located in HRSA-designated rural areas, but that share an EIN with an urban headquarters, the urban parent organization must assure FORHP via a signed letter on organization letterhead that, for the RCORP-Implementation award, they will exert no control over or demand collaboration with the rural entity (see Attachment 11).
- The extent to which at least 50 percent of the signatories to the letter of commitment are physically located in HRSA-designated rural areas;
- The clarity of the Organizational Chart (**Attachment 4**) and extent to which it depicts the relationships and/or hierarchy among all consortium members participating in the project;

- The clarity and completeness of the applicant's proposed staffing plan (**Attachment 5**), including the extent to which the staffing plan includes all of the elements outlined in the "Project Narrative" section of the NOFO;
- If a staff member has yet to be hired, the extent to which the applicant details the process and timeline for hiring and onboarding the new staff, as well as the qualifications and expertise required by the position;
- The extent to which the staffing plan directly links to the activities proposed in the work plan;
- The extent to which the applicant demonstrates that the Project Director(s) will devote at least 25 percent FTE to the proposed project;
- The clarity and comprehensiveness with which the applicant describes how the Project Director will serve as the point person on the award and facilitate collaborative input and engagement among consortium members to complete the proposed work plan during the period of performance;
- The extent to which the applicant clearly describes how the designated Data Coordinator will track, collect, aggregate, and report data and information from all consortium members to fulfill HRSA data requirements;
- The extent to which all proposed staff members have the appropriate qualifications and expertise to fulfill their roles and responsibilities; and
- The extent to which the applicant clearly links staff members' qualifications and experience to their designated RCORP-Implementation project activities (**Attachment 6**).

Criterion 6: SUPPORT REQUESTED (10 points) – Corresponds to Section IV's "Budget and Budget Narrative" Section

- The degree to which the estimated cost to the government for proposed awardfunded activities is reasonable given the scope of work;
- The extent to which the applicant includes a budget and budget narrative for each of the three years of the award;
- The extent to which the applicant allocates the award across a three-year period of performance (i.e., the applicant should not plan to spend the entire award in the first two years); and
- The clarity and comprehensiveness of the budget narrative, including the extent to which the applicant logically documents how and why each line item request (such as personnel, travel, equipment, supplies, and contractual services) supports the goals and activities of the proposed work plan and project.

2. Review and Selection Process

The objective review process provides an objective evaluation to the individuals responsible for making award decisions. The highest ranked applications receive consideration for award within available funding ranges. HRSA may also consider assessment of risk and the other pre-award activities described in Section 3 below. See Section 5.3 of HRSA's *SF-424 Application Guide* for more details.

3. Assessment of Risk

HRSA may elect not to fund applicants with management or financial instability that directly relates to the organization's ability to implement statutory, regulatory, or other requirements (45 CFR § 75.205).

HRSA reviews applications receiving a favorable objective review for other considerations that include past performance, as applicable, cost analysis of the project/program budget, assessment of your management systems, ensuring continued applicant eligibility, and compliance with any public policy requirements, including those requiring just-in-time submissions. HRSA may ask you to submit additional programmatic or administrative information (such as an updated budget or "other support" information) or to undertake certain activities (such as negotiation of an indirect cost rate) in anticipation of an award. However, even at this point in the process, such requests do not guarantee that HRSA will make an award. Following review of all applicable information, HRSA's approving and business management officials will determine whether HRSA can make an award, if special conditions are required, and what level of funding is appropriate.

Award decisions are discretionary and are not subject to appeal to any HRSA or HHS official or board.

HRSA is required to review and consider any information about your organization that is in the <u>Federal Awardee Performance and Integrity Information System (FAPIIS)</u>. You may review and comment on any information about your organization that a federal awarding agency previously entered. HRSA will consider your comments, in addition to other information in <u>FAPIIS</u> in making a judgment about your organization's integrity, business ethics, and record of performance under federal awards when completing the review of risk posed as described in 45 CFR § 75.205 HHS Awarding Agency Review of Risk Posed by Applicants.

HRSA will report to FAPIIS a determination that an applicant is not qualified (45 CFR § 75.212).

VI. Award Administration Information

1. Award Notices

HRSA will issue the Notice of Award (NOA) prior to the start date of September 1, 2021. See Section 5.4 of HRSA's *SF-424 Application Guide* for additional information.

2. Administrative and National Policy Requirements

See Section 2.1 of HRSA's <u>SF-424 Application Guide</u>.

If you are successful and receive a Notice of Award, in accepting the award, you agree that the award and any activities thereunder are subject to all provisions of 45 CFR part 75, currently in effect or implemented during the period of the award, other

Department regulations and policies in effect at the time of the award, and applicable statutory provisions.

Requirements of Subawards

The terms and conditions in the NOA apply directly to the recipient of HRSA funds. The recipient is accountable for the performance of the project, program, or activity; the appropriate expenditure of funds under the award by all parties; and all other obligations of the recipient, as cited in the NOA. In general, the requirements that apply to the recipient, including public policy requirements, also apply to subrecipients under awards, and it is the recipient's responsibility to monitor the compliance of all funded subrecipients. See 45 CFR § 75.101 Applicability for more details.

Data Rights

All publications developed or purchased with funds awarded under this notice must be consistent with the requirements of the program. Pursuant to 45 CFR § 75.322(b), the recipient owns the copyright for materials that it develops under an award issued pursuant to this notice, and HHS reserves a royalty-free, nonexclusive, and irrevocable right to reproduce, publish, or otherwise use those materials for federal purposes, and to authorize others to do so. In addition, pursuant to 45 CFR § 75.322(d), the Federal Government has the right to obtain, reproduce, publish, or otherwise use data produced under this award and has the right to authorize others to receive, reproduce, publish, or otherwise use such data for federal purposes, e.g., to make it available in government-sponsored databases for use by others. If applicable, the specific scope of HRSA rights with respect to a particular award-supported effort will be addressed in the NOA. Data and copyright-protected works developed by a subrecipient also are subject to the Federal Government's copyright license and data rights.

3. Reporting

Award recipients must comply with Section 6 of HRSA's <u>SF-424 Application Guide</u> and the following reporting and review activities:

- 1) Progress Report. The recipient must submit a progress report to HRSA on a quarterly basis. These progress reports should reflect data and information from across consortium members, not just the applicant organization. These reports should reflect award recipients' progress towards completing the core/required activities as outlined in this NOFO to ensure that continuation of the award is in the best interests of the Federal government. Further information will be provided upon receipt of award.
- 2) Performance Improvement Measurement System (PIMS) Reports. The recipient must submit quantitative performance reports on a biannual basis to demonstrate that their project is advancing the overall goal of RCORP of strengthening and expanding prevention, treatment, and recovery services for rural individuals who misuse opioids to enhance their ability to access treatment and move towards recovery. These data should

reflect the performance of all consortium members, not just the applicant organization. Performance indicators have been developed and approved for RCORP-Implementation and focus on service provision, workforce, sustainability, and demographics. As a reminder, RCORP-Implementation award recipients are expected to work with a HRSA-funded evaluator to take part in a larger, RCORP-wide evaluation. Further information will be provided upon receipt of award.

- 3) Sustainability Plan. Building off the sustainability strategies outlined in your application, award recipients will submit a sustainability plan that identifies strategies for achieving programmatic and financial sustainability beyond the period of performance and ensuring that services remain accessible and affordable to individuals who need them most, including the uninsured and the underinsured. HRSA will provide further information during the period of performance.
- 4) **Federal Financial Report (FFR).** The FFR (SF-425) is required no later than January 30 for each budget period. The report is an accounting of expenditures under the project that year. The recipient must submit financial reports electronically. HRSA will provide more detailed information in the NOA.
- 5) **Integrity and Performance Reporting.** The NOA will contain a provision for integrity and performance reporting in <u>FAPIIS</u>, as required in <u>45 CFR</u> part 75 Appendix XII.

Please note that the OMB revisions to Guidance for Grants and Agreements termination provisions located at 2 CFR § 200.340 - Termination apply to all federal awards effective August 13, 2020.

VII. Agency Contacts

You may request additional information and/or technical assistance regarding business, administrative, or fiscal issues related to this NOFO by contacting:

Benoit Mirindi, PhD Sr. Public Health Analyst Division of Grants Management Operations, OFAM Health Resources and Services Administration 5600 Fishers Lane, Mailstop 10SWH03 Rockville, MD 20857

Telephone: (301) 443-6606 Email: bmirindi@hrsa.gov

You may request additional information regarding the overall program issues and/or technical assistance related to this NOFO by contacting:

Lea Carroll

Public Health Analyst

Attn: RCORP-Implementation

Federal Office of Rural Health Policy

Health Resources and Services Administration

5600 Fishers Lane, Mailstop 10SWH03

Rockville, MD 20857

Telephone: (301) 443-3799

Email: ruralopioidresponse@hrsa.gov

You may need assistance when working online to submit your application forms electronically. Always obtain a case number when calling for support. For assistance with submitting the application in Grants.gov, contact Grants.gov 24 hours a day, 7 days a week, excluding federal holidays at:

Grants.gov Contact Center

Telephone: 1-800-518-4726 (International Callers, please dial 606-545-5035)

Email: support@grants.gov
Self-Service Knowledge Base:

https://grants-portal.psc.gov/Welcome.aspx?pt=Grants

Successful applicants/recipients may need assistance when working online to submit information and reports electronically through <u>HRSA's Electronic Handbooks (EHBs)</u>. For assistance with submitting information in the EHBs, contact the HRSA Contact Center, Monday–Friday, 8 a.m. to 8 p.m. ET, excluding federal holidays at:

HRSA Contact Center Telephone: (877) 464-4772

TTY: (877) 897-9910

Web: http://www.hrsa.gov/about/contact/ehbhelp.aspx

VIII. Other Information

Technical Assistance

HRSA has scheduled the following technical assistance:

Webinar

Day and Date: Thursday, January 26, 2021

Time: 1 - 2 p.m. ET

Call-In Number: 1-800-369-1956 Participant Code: 9970670

Weblink:

https://hrsa.connectsolutions.com/fy21 rcorp implementation applicant ta webinar/

NOTE: A Frequently Asked Questions Document will be available for applicants after the conclusion of the TA webinar

Tips for Writing a Strong Application

See Section 4.7 of HRSA's SF-424 Application Guide.

Appendix A: Rural Communities Opioid Response Program (RCORP) and the National Health Service Corps (NHSC)

HRSA encourages award recipients to leverage National Health Service Corps funding to strengthen the SUD workforce in rural communities. The Further Consolidated Appropriations Act, 2020 (P.L. 116-94) appropriated funding to the NHSC for the purpose of expanding and improving access to quality Opioid Use Disorder (OUD) and other SUD treatment in underserved areas nationwide. A portion of the NHSC's funding will be used for rural workforce expansion to combat the opioid epidemic, which has had a particularly significant impact on rural communities. Accordingly, the NHSC Rural Community LRP will make loan repayment awards in coordination with the Rural Communities Opioid Response Program (RCORP) initiative within the Federal Office of Rural Health Policy (FORHP).

A part of this initiative, the NHSC Rural Community Loan Repayment Program (LRP) will recruit and retain medical, nursing, and behavioral/mental health clinicians with specific training and credentials, and are part of an integrated care team, providing evidence-based SUD treatment and counselling in eligible communities of need, designated as Health Professional Shortage Areas (HPSAs).

The NHSC will make awards of up to \$100,000 for three years to eligible providers under the NHSC Rural Community LRP. HRSA seeks providers with Drug Addiction Treatment Act of 2000 (DATA) waivers and SUD-licensed or SUD-certified professionals to provide quality evidence-based SUD treatment health care services at SUD treatment facilities located in Health Professional Shortage Areas (HPSAs). For this initiative, the NHSC Rural Community LRP has expanded the list of eligible disciplines to include pharmacists, registered nurses, SUD counselors and nurse anesthetists. NHSC Rural Community LRP will provide a funding preference for applicants serving at rural NHSC-approved SUD treatment facilities that are RCORP Consortium member sites.

Eligibility

To be eligible for NHSC service, a provider must:

- Be a U.S. citizen or national;
- Currently work, or have applied to work, at an NHSC-approved site;
- Have unpaid government or commercial loans for school tuition, reasonable educational expenses, and reasonable living expenses, segregated from all other debts; and
- Be licensed to practice in state where the employer site is located.

Eligible Occupations

Members of the SUD integrated treatment team who qualify for NHSC SUD expansion include:

Primary Care:
Physician (MD or DO)
Nurse Practitioner
Certified Nurse-Midwife
Physician Assistant

New Program Disciplines:
Substance Use Disorder Counselors
Pharmacists
Registered Nurses
Nurse Anesthetists (RCORP NHSC LRP only)

Mental Health:

Physicians (MD or DO)
Health Service Psychologist
Clinical Social Worker
Psychiatric Nurse Specialist
Marriage and Family Therapist
Professional Counselor
Physician Assistant
Nurse Practitioners

Eligible Site Criteria

NHSC-approved sites must:

- Be located in and serve a federally designated HPSA;
- · Be an outpatient facility providing SUD services;
- Utilize and prominently advertise a qualified discounted/sliding fee schedule (SFS) for individuals at or below 200 percent of the federal poverty level;
- Not deny services based on inability to pay or enrollment in Medicare, Medicaid, and Children's Health Insurance Program (CHIP);
- Ensure access to ancillary, inpatient, and specialty care;
- Have a credentialing process that includes a query of the National Practitioner Data Bank: and
- Meet all requirements listed in the NHSC Site Agreement.

For more complete information about site eligibility and the site application process, please see the NHSC Site webpage and the NHSC Site Reference Guide.
For a list of current NHSC-approved sites, please see HRSA's Health Workforce Connector.

Eligible Site Types

Regular Application Process:

- 1. Certified Rural Health Clinics:
- 2. State or Local Health Departments;
- 3. State Prisons:
- 4. Community Mental Health Centers;
- 5. School-Based Clinics:
- 6. Mobile Units/Clinics;
- 7. Free Clinics:
- 8. Critical Access Hospitals (CAH);
- 9. Community Outpatient Facilities; and
- 10. Private Practices.

Newly-eligible SUD Site Types:

- 1. Opioid Treatment Program (OTP);
- 2. Office-based Opioid Agonist Treatment (OBOT); and
- 3. Non-Opioid SUD treatment sites.

Auto-Approval Process:

- 1. Federally-Qualified Health Centers (FQHC);
- 2. FQHC Look-Alikes:
- 3. American Indian Health Facilities: Indian Health Service (IHS) Facilities, Tribally-Operated 638 Health Programs, and Urban Indian Health Programs);
- 4. Federal Prisons; and
- 5. Immigration and Customs Enforcement.

Please note that all NHSC sites must deliver comprehensive mental/behavioral health on an outpatient basis, with the exception of CAHs and IHS hospitals. NHSC-approved sites must provide services for free or on a SFS to low-income individuals, and:

- 1. Offer a full (100 percent) discount to those at or below 100 percent of the federal poverty level
- 2. Offer discounts on a sliding scale up to 200 percent of the federal poverty level;
- 3. Use the most recent HHS Poverty Guidelines;
- 4. Utilize family size and income to calculate discounts (not assets or other factors); and
- 5. Have this process in place for a minimum of 6 months.

Note:

- A health care organization of a consortium must receive NHSC site approval prior to members of their workforce applying for NHSC Rural Community Loan Repayment Program.
- Consortium members do not receive auto-approval based on their RCORP status.
 Consortium members must meet all NHSC site eligibility criteria. All NHSC sites, except SUD treatment facilities, Critical Access Hospitals and Indian Health Service Hospitals, are required to provide an appropriate set of services for the community and population they serve. NHSC-approved sites must provide services for free or on a sliding fee schedule to low-income individuals. More information can be found here.

Additional information on the SFS can be found in the recently updated <u>SFS Information</u> <u>Package</u>.

Appendix B: Resources for Applicants

Several sources offer data and information that may help you in preparing the application. Please note HRSA is not affiliated with all of the resources provided, however, you are especially encouraged to review the reference materials available at the following websites:

HRSA Resources:

• HRSA Rural Communities Opioid Response Program (RCORP) Website

Provides information regarding HRSA's RCORP initiative.

Website: https://www.hrsa.gov/rural-health/rcorp

RCORP Technical Assistance website: https://www.rcorp-ta.org/

RCORP-Rural Centers of Excellence on Substance Use Disorder:

https://www.hrsa.gov/rural-health/rcorp/rcoe

HRSA Opioids Website

Offers information regarding HRSA-supported opioid resources,

technical assistance and training.

Website: https://www.hrsa.gov/opioids

HRSA Data Warehouse

Provides maps, data, reports and dashboard to the public. The data integrate with external sources, such as the U.S. Census Bureau, providing information about HRSA's grants, loan and scholarship programs, health centers and other public health programs and services.

Website: https://datawarehouse.hrsa.gov/

Ending the HIV Epidemic: A Plan for America

Learn how HRSA—in conjunction with other key HHS agencies, including the Centers for Disease Control and Prevention (CDC), the National Institutes of Health (NIH), the Indian Health Service (IHS), and the Substance Abuse and Mental Health Services Administration (SAMHSA)—is supporting the President's new initiative to reduce new HIV infections by 75 percent in the next five years and by 90 percent in the next 10 years.

Website: https://www.hiv.gov/federal-response/ending-the-hiv-epidemic/overview

UDS Mapper

The UDS Mapper is a mapping and decision-support tool driven primarily from data within the Uniform Data System. It is designed to help inform users about the current geographic extent of U.S. federal (Section 330) Health Center Program award recipients and lookalikes. Applicants can use this resource to locate other collaborative partners.

Website: https://www.udsmapper.org/index.cfm

National Health Service Corps (NHSC)

HRSA's Bureau of Health Workforce administers the NHSC Loan Repayment Program, which is authorized to provide loan repayment to primary health care professionals in exchange for a commitment to serve in a Health Professional Shortage Area.

- For general information about NHSC, please visit: https://nhsc.hrsa.gov/
- For state point of contacts, please visit here: https://nhsc.hrsa.gov/sites/helpfullcontacts/drocontactlist.pdf

• Primary Care Offices (PCOs)

The PCOs are state-based offices that provide assistance to communities seeking health professional shortage area designations and recruitment assistance as NHSC-approved sites. To locate contact information for all of the PCOs, visit here: https://bhw.hrsa.gov/shortage-designation/hpsa/primary-care- offices

Other Resources:

American Society of Addiction Medicine (ASAM)

Offers a wide variety of resources on addiction for physicians and the public.

Website: https://www.asam.org/resources/the-asam-criteria/about

- Case Study: Medication Assisted Treatment Program for Opioid Addiction
 Learn about Vermont's Hub & Spoke Model for treating opioid addiction here:
 http://www.astho.org/Health-Systems-Transformation/Medicaid-and-Public-Health-Partnerships/Case-Studies/Vermont-MAT-Program-for-Opioid-Addiction/
- Centers for Disease Control and Prevention (CDC)

Offers a wide variety of opioid-related resources, including nationwide data, state-specific information, prescription drug monitoring programs, and other useful resources, such as the *Guideline for Prescribing Opioids for Chronic Pain*.

Website: https://www.cdc.gov/drugoverdose/opioids/index.html

 Managing HIV and Hepatitis C Outbreaks Among People Who Inject Drugs: A Guide for State and Local Health Departments (March 2018):

https://www.cdc.gov/hiv/pdf/programresources/guidance/clusteroutbreak/cdc-hiv-hcv-pwid-guide.pdf

National Center for Health Statistics

Provides health statistics for various populations.

Website: http://www.cdc.gov/nchs/

Syringe Services Programs

For more information on these programs and how to submit a Determination of Need request visit here: https://www.cdc.gov/hiv/risk/ssps.html

Community Health Systems Development Team at the Georgia Health Policy Center

Offers a library of resources on topics such as collaboration, network infrastructure, and strategic planning.

Website: http://ruralhealthlink.org/Resources/ResourceLibrary.aspx

Legal Services Corporation

Legal Services Corporation (LSC) is an independent nonprofit established by Congress in 1974 to provide financial support for civil legal aid to low-income Americans.

Website: https://www.lsc.gov/

National Area Health Education Center (AHEC) Organization
 The National AHEC Organization supports and advances the AHEC
 Network to improve health by leading the nation in recruitment,
 training and retention of a diverse health work force for underserved communities.

Website: http://www.nationalahec.org/

National Association of County and City Health Officials
 (NACCHO) NACCHO created a framework that demonstrates how
 building consortiums among local health departments, community
 health centers, health care organizations, offices of rural health,
 hospitals, nonprofit organizations, and the private sector is essential
 to meet the needs of rural communities.

Website: http://archived.naccho.org/topics/infrastructure/mapp/

National Institutes of Health (NIH)

 HEALing Communities Study: Learn about the multi-site implementation research study launched by NIH and SAMHSA to test the impact of an integrated set of evidence-based practices across health care, behavioral health, justice, and other community-based settings.

Website: https://heal.nih.gov/research/research-to-practice/healing-communities

National Institute on Drug Abuse (NIDA): NIDA
 advances science on the causes and consequences of drug
 use and addiction and applies that knowledge to improve
 individual and public health.

Website: https://www.drugabuse.gov/about-nida

National Opinion Research Center (NORC) at the University of Chicago— Overdose Mapping Tool

NORC and the Appalachian Regional Commission have created the Overdose Mapping Tool to allow users to map overdose hotspots in Appalachia and overlay them with data that provide additional context to opioid addiction and death.

Website: http://overdosemappingtool.norc.org/

National Organization of State Offices of Rural Health (NOSORH)—Toolkit NOSORH published a report on lessons learned from HRSA's Rural Opioid Overdose Reversal Grant Program and compiled a number of tools and resources communities can use to provide education and outreach to various stakeholders.

Website: https://nosorh.org/rural-opioid-overdose-reversal-program/

Providers Clinical Support System

PCSS is a program funded by the Substance Abuse and Mental Health Services Administration (SAMHSA) created in response to the opioid overdose epidemic to train primary care providers in the evidence-based prevention and treatment of opioid use disorders (OUD) and treatment of chronic pain.

Website: https://pcssnow.org/

Primary Care Associations (PCAs)

To locate contact information for all of the PCAs, visit here: http://www.nachc.org/about-nachc/state-affiliates/state-regional-pca-listing/

Rural Health Information Hub – Community Health Gateway Offers evidence-based toolkits for rural community health, including systematic guides, rural health models and innovations, and examples

of rural health projects other communities have undertaken. Website: https://www.ruralhealthinfo.org/community-health

 Rural Health Information Hub – Rural Response to Opioid Crisis Provides activities underway to address the opioid crisis in rural communities at the national, state, and local levels across the country.

Website: https://www.ruralhealthinfo.org/topics/opioids

Rural Health Information Hub - Rural Prevention and Treatment of Substance Abuse Toolkit

Provides best practices and resources that organizations can use to implement substance abuse prevention and treatment programs.

Website: https://www.ruralhealthinfo.org/toolkits/substance-abuse

Rural Health Research Gateway

Provides access to projects and publications of the HRSA-funded Rural Health Research Centers, 1997-present, including projects pertaining to substance use disorder.

Website: http://www.ruralhealthresearch.org/

 Substance Abuse and Mental Health Services Administration (SAMHSA) Offers a wide variety of resources on the opioid epidemic, including data sources, teaching curriculums, evidence-based and best practices, and information on national strategies and initiatives.
 Website: https://www.samhsa.gov/

SAMHSA Evidence-Based Practices Resource Center

Contains a collection of scientifically based resources for a broad range of audiences, including Treatment Improvement Protocols, toolkits, resource guides, clinical practice guidelines, and other science-based resources.

Website: https://www.samhsa.gov/ebp-resource-center

SAMHSA State Targeted Response to the Opioid Crisis Grants

This program awards grants to states and territories and aims to address the opioid crisis by increasing access to treatment, reducing unmet treatment need, and reducing opioid overdose related deaths through the provision of prevention, treatment and recovery activities for OUD.

List of individual grant award activities:

https://www.samhsa.gov/sites/default/files/grants/pdf/other/ti-17-014- opioid-str-abstracts.pdf

SAMHSA State Opioid Response Grants

The program aims to address the opioid crisis by increasing access to medication-assisted treatment using the three FDA-approved medications for the treatment of opioid use disorder, reducing unmet treatment need, and reducing opioid overdose related deaths through the provision of prevention, treatment and recovery activities for opioid use disorder (OUD) (including prescription opioids, heroin and illicit fentanyl and fentanyl analogs)

Website: https://www.samhsa.gov/grants/grant-

announcements/ti-18-015
List of awarded states:

https://www.hhs.gov/about/news/2019/09/04/state-opioid-response-grants-by-state.html

SAMHSA Peer Recovery Resources

- o https://www.samhsa.gov/brss-tacs
- https://www.samhsa.gov/brss-tacs/recovery-supporttools/peers/core-competencies-peer-workers

• Other Opioid Use Disorder Resources

- "TIP 63: Medications for Opioid Use Disorder"
 https://store.samhsa.gov/product/TIP-63-Medications-for-Opioid-Use-Disorder-Full-Document/PEP20-02-01-006
- "The ASAM National Practice Guideline for the Treatment of Opioid Use Disorder – 2020 Focused Update" https://www.asam.org/Quality-Science/quality/2020-national-practice-guideline

State Offices of Rural Health (SORHs)

All 50 states have a SORH. These offices vary in size, scope, organization, and in services and resources, they provide. The general purpose of each SORH is to help their individual rural communities build health care delivery systems.

List of and contact information for each SORH:

https://nosorh.org/nosorhby-state/ members/nosorh-members-browse-

State Rural Health Associations (SRHAs)

To locate contact information for all of the SRHAs, visit here: https://www.ruralhealthweb.org/programs/state-rural-health-associations

U.S. Department of Agriculture (USDA)

Provides information and resources—including relevant USDA funding opportunities such as the Community Facilities Loan and Grant Program—for rural communities that want to address the opioid epidemic. Visitors can also share feedback on what prevention, treatment and recovery actions have been effective in addressing the opioid epidemic in their rural communities.

Website: https://www.usda.gov/topics/opioids

U.S. Department of Labor

- Federal Bonding Program: The U.S. Department of Labor established
 The Federal Bonding Program in 1966 to provide Fidelity Bonds for "atrisk," hard-to-place job seekers. The bonds cover the first six months of
 employment at no cost to the job applicant or the employer.
 Website: https://nicic.gov/federal-bonding-program-us-department-labor-initiative
- Work Opportunity Tax Credit: The Work Opportunity Tax Credit (WOTC) is a federal tax credit available to employers for hiring individuals from certain target groups who have consistently faced significant barriers to employment.

Website: https://www.doleta.gov/business/incentives/opptax/

U.S. Department of Health and Human Services (HHS)
 Provides resources and information about the opioid epidemic, including HHS' 5- point strategy to combat the opioid crisis.
 https://www.hhs.gov/opioids/
 https://www.outreach.usda.gov/USDALocalOffices.htm

Appendix C: Potential Consortium Members

Examples of potential partner organizations include, but are not limited to:

- 1. Community Members, such as:
 - Individuals in Recovery;
 - Youth:
 - Parents;
 - Grandparents;
 - Individuals who have historically suffered from poorer health outcomes, health disparities, and other inequities, as compared to the rest of the target population;
- Health care providers, such as:
 - o Critical access hospitals or other hospitals;
 - o Rural health clinics:
 - Local or state health departments;
 - Federally qualified health centers;
 - o Ryan White HIV/AIDS clinics and community-based organizations;
 - Substance abuse treatment providers;
 - o Mental and behavioral health organizations or providers;
 - Opioid Treatment Programs;
- HIV and HCV prevention organizations;
- Entities that are owned or managed by people from minority groups;
- Single State Agencies (SSAs);
- Prisons:
- Primary Care Offices;
- State Offices of Rural Health;
- Law enforcement;
- Cooperative Extension System Offices;
- Emergency Medical Services entities;
- School systems;
- Primary Care Associations;
- Poison control centers;
- Maternal, Infant, and Early Childhood Home Visiting Program local implementing agencies;
- Healthy Start sites; and
- Other social service agencies and organizations.

Appendix D: Allowable Additional Activities (Optional)

While RCORP-Implementation award recipients are required to implement all core/required activities outlined in the Program-Specific Instructions section of this NOFO, HRSA recognizes that some applicants may have the capacity (e.g., staffing, infrastructure, resources, etc.) to pursue additional activities beyond the core/required activities. Under these circumstances, award recipients may propose additional activities that aim to reduce SUD/OUD morbidity and mortality in high-risk rural communities.¹¹ Proposals for additional activities will be evaluated on a case-by-case basis by HRSA Program Staff. Examples include, but are not limited to, the following:

- 1. Advance telehealth direct care and consultation approaches to MAT. Note that the Drug Enforcement Agency (DEA) has issued a <u>clarification of current law</u> allowing the prescribing of MAT via telehealth under certain circumstances.
- Create space to deliver virtual care that supports accurate clinical interviewing and assessment, clear visual and audio transmission, and ensures patient confidentiality.
- 3. Purchase Food and Drug Administration (FDA)-approved opioid agonist medications (e.g., methadone, buprenorphine products including buprenorphine/naloxone combination and buprenorphine mono-product formulations) for the maintenance treatment of OUD, opioid antagonist medication (e.g., naltrexone products) to prevent relapse to opioid use, and naloxone to treat opioid overdose.
- 4. Perform minor renovations to facilitate co-location of SUD, mental health, and primary care services teams. Please reference the <u>Funding Restrictions section</u> of the <u>NOFO</u> for more information on minor renovations.
- 5. Provide training and education to patients, families, and communities on SUD prevention and treatment, mental health, neo-natal abstinence syndrome, trauma-informed care, suicide prevention, and opioid overdose.
- 6. Test and implement new payment models that facilitate and incentivize coordinated care.
- 7. Implement or expand access to evidence-based and/or promising practices that enhance better pain management through implementing opioid prescribing guidelines and other evidence-based methods of pain management.

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¹¹ Applicants will demonstrate the level of need and risk in their communities in the Project Narrative section of this NOFO.

- 8. Identify at least one individual within the consortium who has the capacity and ability to manage HIV care and treatment; understands the HIV care continuum to better identify gaps in HIV services; and can develop strategies to improve engagement in care and outcomes for people with HIV.
- 9. Provide support for pregnant and postpartum women to enter and adhere to family centered OUD treatment, reduce the risk of relapse, and prevent, and reduce and manage medical complications in the newborn and other children, using approaches that minimize stigma and other barriers to care, and to support the long-term recovery of the women.
- 10. Recruit, train, and mentor interdisciplinary teams, including clinical and social service providers, who can engage with, and provide evidence-based psychosocial treatment to, the target population and address underlying social determinants of health.
- 11. Address other SUD-related needs of the target population, given that many individuals with OUD are polysubstance users or have co-occurring conditions.