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U.S. Department of JusticeOffice of Justice Programs
Office of Juvenile Justice and Delinquency Prevention



OJJDP FY 2020 Family Drug Court Program FY 2020 Competitive Grant Solicitation

CFDA #16.585

Grants.gov Solicitation Number: OJJDP-2020-17090

Solicitation Release Date: January 23, 2020

UPDATED Application Deadline: 11:59 p.m. eastern time (ET) on April 8, 2020

The <u>U.S. Department of Justice</u> (DOJ), <u>Office of Justice Programs</u> (OJP), <u>Office of Juvenile Justice and Delinquency Prevention</u> (OJJDP) is seeking applications for funding under the fiscal year (FY) 2020 Family Drug Courts Program. This program furthers the Department's mission by supporting states and communities as they develop and implement effective and coordinated substance use intervention programs.

This solicitation incorporates the <u>OJP Grant Application Resource Guide</u> by reference. The OJP Grant Application Resource Guide provides guidance to applicants on how to prepare and submit applications for funding to OJP. If this solicitation expressly modifies any provision in the OJP Grant Application Resource Guide, the applicant is to follow the guidelines in this solicitation as to that provision.

Eligibility (Who may apply):

This solicitation is composed of three grant categories. Applicants must clearly designate the category for which they are applying.

Category 1: Enhancing Family Drug Courts and Category 2: Serving Veterans Through Family Drug Courts

The following entities are eligible to apply:

- states and territories.
- state and local courts,
- units of local government,¹ and

¹ A "unit of local government" means—

⁽a) Any city, county, township, town, borough, parish, village, or other general purpose political subdivision of a state.

 federally recognized Indian tribal governments acting on behalf of a single jurisdiction drug court.

OJJDP encourages applicants to partner with community providers of services, if applicable and appropriate.

Category 1: Enhancing Family Drug Courts and Category 2: Serving Veterans Through Family Drug Courts grants are available to jurisdictions with a fully operational family drug court. Courts applying for funding under Category 1 or 2 must be fully operational for at least 1 year, as funding is intended to enhance services of existing family drug courts.

Category 3: State and County Family Drug Courts Expansion

The following entities are eligible to apply:

- State <u>Administrative Office of the Court</u>, working in conjunction with and coordinating closely with the state's <u>Court Improvement Program</u>. Applicants are required to partner with the state child welfare agency and state substance use treatment agency, and must include with the application letters of intent formalizing these partnerships.
- Eligible county applicants are limited to the county superior court (or similar authority).
 These grants are for jurisdictions with populations at or above 2 million. Applicants are
 required to partner with the county child welfare agency and county substance use
 treatment agency, and must include with the application letters of intent formalizing
 these partnerships.

All Categories. All recipients and subrecipients (including any for-profit organization) must forgo any profit or management fee.

Contact Information

For technical assistance with submitting an application, contact the Grants.gov Customer Support Hotline at 800–518–4726 or 606–545–5035, at https://www.grants.gov/web/grants/support.html, or at support@grants.gov. The Grants.gov Support Hotline operates 24 hours a day, 7 days a week, except on federal holidays.

An applicant that experiences unforeseen Grants.gov technical issues beyond its control that prevent it from submitting its application by the deadline must email the National Criminal Justice Reference Service Response Center (Response Center) at grants@ncjrs.gov within 24 hours after the application deadline to request approval to submit its application after the deadline. Additional information on reporting technical issues appears under "Experiencing Unforeseen Grants.gov Technical Issues" in the How To Apply (Grants.gov) section in the OJP Grant Application Resource Guide.

⁽b) Any law enforcement district or judicial enforcement district that—

⁽i) is established under applicable state law, and

⁽ii) has the authority to, in a manner independent of other state entities, establish a budget and impose taxes.

⁽c) An Indian tribe that performs law enforcement functions, as determined by the Secretary of the Interior.

⁽d) For the purposes of assistance eligibility, any agency of the government of the District of Columbia or the federal government that performs law enforcement functions in and for—

⁽i) the District of Columbia, or

⁽ii) any Trust Territory of the United States.

For assistance with any other requirements of this solicitation, contact the Response Center by telephone at 800–851–3420 or TTY: 301–240–6310 (hearing impaired only) or by email at grants@ncjrs.gov. Response Center hours of operation are 10 a.m. to 6 p.m. ET, Monday through Friday, and 10 a.m. to 8 p.m. ET on the solicitation close date. General information on applying for OJJDP awards can be found at https://www.ojjdp.gov/funding/funding.html. Answers to frequently asked questions that may assist applicants are posted at https://ojjdp.ojp.gov/sites/g/files/xyckuh176/files/media/document/OJJDP-2020-17090-FAQs.pdf.

A solicitation webinar will be held on February 5, 2020. This webinar will provide a detailed overview of the solicitation and allow an opportunity for interested applicants to ask questions. Preregistration is required for all participants. Register by clicking here and following the instructions. Due to the limited time, OJJDP encourages participants to review the solicitation and submit any questions they may have in advance and no later than 3 days prior. Submit your questions to grants@ncjrs.gov with the subject as "Questions for OJJDP FY 2020 Family Drug Court Program Webinar." After the webinar, you will find it uploaded here.

Deadline Details

Applicants must register with Grants.gov at https://www.grants.gov/web/grants/register.html prior to submitting an application. All applications are due by 11:59 p.m. ET on April 8, 2020.

To be considered timely, an application must be submitted by the application deadline using Grants.gov, and the applicant must have received a validation message from Grants.gov that indicates successful and timely submission. OJP urges applicants to submit applications at least 72 hours prior to the application due date, to allow time for the applicant to receive validation messages or rejection notifications from Grants.gov, and to correct in a timely fashion any problems that may have caused a rejection notification.

An applicant must use the **Add Attachment** button to attach a file to its application. Do not click the paperclip icon to attach files. This action will not attach the files to the application. After adding an attachment, select the **View Attachment** button to confirm you attached the correct file. To remove the file, select the **Delete Attachment** button.

OJP encourages all applicants to read this Important Notice: Applying for Grants in Grants.gov.

For additional information, see the "How to Apply (Grants.gov)" section in the OJP Grant Application Resource Guide.

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OJJDP 2020 Family Drug Court Program CFDA #16.585

A. Program Description

Overview

Pursuant to 34 U.S.C. 10611 et seq., the OJJDP Family Drug Court Program seeks to build the capacity of state and local courts, units of local government, and federally recognized tribal governments to enhance existing family drug courts or implement statewide or countywide family drug court practices that increase collaboration with substance abuse treatment and child welfare systems to ensure the provision of treatment and other services for families that improve child, parent, and family outcomes.

Program-Specific Information

Family drug courts serve parents who require treatment for a substance use disorder and who are involved with the child welfare system as a result of child abuse, neglect, or other parenting issues. Family drug courts provide intensive judicial monitoring and interventions using a multidisciplinary approach to treat parents' substance use and/or co-occurring mental health disorders.

OJJDP expects family drug court applicants to provide services that address the needs of the entire family, including direct services to children of parents served in this program. Proposals must specifically include the provision of treatment and services that address opioid, stimulant, and substance abuse reduction. Successful applicants must propose an evaluation plan to monitor program performance and build overall capacity. Applicants must specify plans for obtaining necessary support and continuing the proposed program following the conclusion of federal support.

Per 34 U.S.C. 10612, any court that receives funds under this program may not permit participation by violent offenders. For the purposes of this solicitation, violent offender means a person who (1) is charged with or convicted of an offense that is punishable by a term of imprisonment exceeding 1 year, during the course of which offense or conduct (a) the person carried, possessed, or used a firearm or dangerous weapon, (b) there occurred the death of or serious bodily injury to any person, or (c) there occurred the use of force against another person, without regard to whether any of the circumstances described above (at (a) or (b)) is an element of the offense or conduct of which or for which the person is charged or convicted; or a person who (2) has one or more prior convictions for a felony crime of violence involving the use or attempted use of force against a person with the intent to cause death or serious bodily harm. (See 34 U.S.C. 10613(a).) Violent offender also includes a juvenile who has been convicted of or adjudicated delinquent for a felony-level offense that (1) has as an element the use. attempted use, or threatened use of physical force against the person or property of another, or the possession or use of a firearm or (2) by its nature involves a substantial risk that physical force against the person or property of another may be used in the course of committing the offense. (See 34 U.S.C. 10613(b).) Funding will be immediately suspended if DOJ determines that violent offenders are participating in any program funded under this solicitation.

Family drug courts must meet the requirements of 34 U.S.C. 10611(a). The requirements include:

- 1. Continuing judicial supervision over individuals under the jurisdiction of the court with substance abuse problems, including co-occurring substance abuse and mental health problems, who are not violent offenders.
- 2. The integrated administration of other sanctions and services, which shall include:
 - Mandatory periodic testing for the use of controlled substances or other addictive substances during any period of supervised release or probation for each participant.
 - Substance abuse treatment for each participant.
 - Diversion, probation, or other supervised release involving the possibility of prosecution, confinement, or incarceration based on noncompliance with program requirements or failure to show satisfactory progress.
 - Offender management and aftercare services such as relapse prevention, healthcare, education, vocational training, job placement, housing placement, and child care or other family support services for each participant who requires such services.
 - Payment, in whole or in part, by the offender for treatment costs, to the extent practicable, such as costs for urinalysis or counseling.
 - Payment, in whole or in part, by the offender, of restitution, to the extent practicable, to either a victim of the offender's offense or to a restitution or similar victim support fund.

Although the Drug Court Discretionary Grant Program authorizing statute requires participant payments for treatment and restitution (see above), it does not allow imposing a fee on a client at a level that would interfere with the client's rehabilitation. Applicants should include in their application provisions for determining if these costs would interfere with a client's rehabilitation or graduation.

Consistent with the authorizing statute, 34 U.S.C. 10611(c), family drug court programs must require mandatory periodic drug testing that is accurate and practicable. Each participant must be tested for every controlled substance that the participant has been known to abuse and for any that the court may require. The courts must impose graduated sanctions that increase punitive measures, therapeutic measures, or both whenever a participant fails a drug test. Such sanctions and measures may include but are not limited to one or more of the following:

- Incarceration.
- Detoxification treatment.
- Residential treatment.
- Increased time in the program.
- Termination from the program.
- Increased drug screening requirements.
- Increased court appearances.

- Increased counseling.
- Increased supervision.
- Electronic monitoring.
- In-home restriction.
- Community service.
- Family counseling.
- Anger management classes.

Furthermore, family drug courts must also meet the requirements of 34 U.S.C. 10611(d). The requirements include:

- 1. Provide a long-term strategy and detailed implementation plan that shall provide for the consultation and coordination with appropriate state and local prosecutors, particularly when program participants fail to comply with program requirements.
- 2. Explain the inability to fund the program adequately without federal assistance.
- 3. Certify that the federal support provided will be used to supplement, and not supplant, state, Indian tribal, and local sources of funding that would otherwise be available.
- 4. Identify related governmental or community initiatives that complement or will be coordinated with the proposal.

Goals, Objectives, and Deliverables

The goal of programs funded under the OJJDP Family Drug Court Program is to enhance existing family drug courts or implement statewide or countywide family drug court practices to more effectively intervene with parents, children, and families affected by a substance use and/or co-occurring mental health disorder who are involved in the child welfare system as a result of child abuse and neglect or other parenting issues.

The objective is to provide treatment and accountability to parents with substance use disorders by offering access to treatment and recovery services that will ultimately protect children; reunite families, when safe to do so; and expedite permanency. Programs must include the provision of treatment and recovery services to specifically address opioid, stimulant, and substance abuse reduction.

This solicitation offers three family drug court grant categories. Applicants must clearly designate the category for which they are applying.

Category 1: Enhancing Family Drug Courts. OJJDP-2020-17150. Programs funded under Category 1 are expected to expand parental substance use disorder (SUD) treatment services in existing family drug courts, including screening, assessment, case management, recovery support services, and program coordination to family drug court participants. Successful applicants will be expected to provide a coordinated, multisystem approach that combines the oversight authority of family drug courts with evidence-based interventions that focus on parental SUD treatment and recovery, parenting, child and parent trauma, and parent-child relationships. Applicants must describe the population(s) for which the intervention(s) has been shown to be effective and demonstrate that the intervention(s) is appropriate for the population(s) targeted (see CrimeSolutions.gov).

Category 2: Serving Veterans Through Family Drug Courts. OJJDP-2020-17151. Programs funded under Category 2 have a narrower focus—to provide treatment and accountability to veteran parents with substance use disorders by offering access to recovery services. Applicants are expected to enhance existing family drug court practice to identify, assess, and refer veterans and veteran family members entering the family drug court, or when initially referred to child welfare, to family-centered treatment and recovery support services that reflect military competence. Successful applicants will coordinate services for the veteran and veteran family members from partner agencies, including trauma-informed care specific to veteran populations. Funding under this category is restricted to veteran or military service members; therefore, proposals must clearly detail how funds will solely serve this target population.

Category 3: State and County Family Drug Courts Expansion. OJJDP-2020-17152. OJJDP will support states and counties to enhance and/or expand family drug court treatment and recovery practices at the larger state and county levels to more effectively serve families affected by opioid, stimulant, and other substance use disorders. States and counties will

increase access to and/or availability of substance use disorder treatment and recovery services across their state or county and develop and implement practices and policies that strengthen existing family drug courts, child welfare, substance use disorder treatment service systems, and community-based organizations that serve and support children and families. This will be achieved through strengthened cross-systems collaboration; expansion of family drug court practices into the larger state or county child welfare, substance use disorder treatment, and court systems; and increasing the scale and scope of services provided by family drug courts across the state or county.

Selected applicants are expected to (1) complete a needs assessment to assess state or county capacity to implement family drug court best practices and principles in wider state or county systems, as well as identify strengths and gaps in current knowledge and practices; (2) implement proposed enhancements over 3 years with a clear plan of action that also addresses any needs identified in the self-assessment; and (3) develop and/or strengthen state- and local-level information-sharing, evaluation, and performance monitoring capacity to track client progress and cost savings across systems/agencies.

All Categories: The Goals, Objectives, and Deliverables are directly related to the performance measures that demonstrate the results of the work completed, as discussed under What an Application Should Include.

OJP Priority Areas

In FY 2020, OJP is supporting the priority areas identified below. Applications proposing activities in the following areas will be given priority consideration:

- Addresses the specific challenges that rural communities face.
- Encouraging program investments in economically distressed communities (Qualified Opportunity Zones).

To receive priority consideration under the rural priority, applicants must describe what makes the geographic service area rural (using U.S. Census or other appropriate government data), how isolated the area is from needed services, and how they will address specific public safety challenges in rural communities.

To receive priority consideration under the Qualified Opportunity Zones priority, applicants must include information that specifies how the project will enhance public safety in the specified QOZs. For resources on QOZs, and for a current list of designated QOZs, see the U.S. Department of the Treasury's resource webpage, accessible at https://www.cdfifund.gov/pages/opportunity-zones.aspx.

Evidence-Based Programs or Practices

OJP strongly emphasizes the use of data and evidence in policymaking and program development in criminal justice, juvenile justice, and crime victim services. For additional information and resources on evidence-based programs or practices, see the OJP Grant Application Resource Guide.

Information Regarding Potential Evaluation of Programs and Activities

Applicants should note that OJP may conduct or support an evaluation of the programs and activities funded under this solicitation. For additional information, see the OJP Grant Application Resource Guide section titled "Information Regarding Potential Evaluation of Programs and Activities."

B. Federal Award Information

Maximum number of awards OJJDP expects to make: 23

Estimated maximum dollar amount for each award: See categories below

- Under Category 1 (Enhancing Family Drug Courts), OJJDP expects to make 15 awards of up to \$750,000 each.
- Under Category 2 (Serving Veterans Through Family Drug Courts), OJJDP expects to make two awards of up to \$500,000 each.
- Under Category 3 (State and County Family Drug Courts Expansion), OJJDP expects to make six awards of up to \$1,000,000 each.

Total amount anticipated to be awarded under solicitation \$18,250,000
Period of performance start date October 1, 2020
Period of performance duration 36 months

Under this solicitation, only one application by any particular applicant entity will be considered. An entity may, however, be proposed as a subrecipient (subgrantee) in more than one application.

All awards are subject to the availability of appropriated funds and to any modifications or additional requirements that may be imposed by law.

Type of Award

For Categories 1 and 2, OJJDP expects to make awards as grants. See the "Administrative, National Policy, and Other Legal Requirements" section of the OJP Grant Application Resource Guide for additional information.

For Category 3, OJJDP expects to make awards as cooperative agreements, which provide for OJP to have substantial involvement in carrying out award activities. See the "Administrative, National Policy, and Other Legal Requirements" section of the OJP Grant Application Resource Guide for additional information.

Financial Management and System of Internal Controls

Award recipients and subrecipients (including recipients or subrecipients that are pass-through entities) must, as described in the Part 200 Uniform Requirements² as set out at 2 C.F.R. 200.303, comply with standards for financial and program management. See the OJP Grant Application Resource Guide for additional information.

² The "Part 200 Uniform Requirements" means the DOJ regulation at 2 C.F.R Part 2800, which adopts (with certain modifications) the provisions of 2 C.F.R. Part 200.

Budget Information

Cost Sharing or Match Requirement

This solicitation requires a 25 percent **cash or in-kind match.** See the <u>OJP Grant Application</u> Resource <u>Guide</u> for additional information on this match requirement.

Please see the OJP Grant Application Resource Guide for information on the following:

Pre-agreement Costs (also known as Pre-award Costs)

Limitation on Use of Award Funds for Employee Compensation; Waiver

Prior Approval, Planning, and Reporting of Conference/Meeting/Training Costs

Costs Associated with Language Assistance (if applicable)

C. Eligibility Information

For eligibility information, see the title page.

For information on cost sharing or match requirements, see <u>Cost Sharing or Match</u> Requirement.

D. Application and Submission Information

This solicitation expressly modifies the OJP Grant Application Resource Guide by not incorporating the "Disclosure of Process Related to Executive Compensation" provisions in the "Application Attachments" section of the OJP Grant Application Resource Guide.

What an Application Should Include

The following application elements MUST be included in the application submission for an application to meet the basic minimum requirements (BMR) to advance to peer review and receive consideration for funding: Program Narrative, Budget Detail Worksheet, and Budget Narrative.

See the "Application Elements and Formatting Instructions" section of the <u>OJP Grant Application</u> Resource <u>Guide</u> for information on what happens to an application that does not contain all of the specified elements or that is nonresponsive to the scope of the solicitation.

1. Application for Federal Assistance (Standard Form (SF)-424)

The SF-424 is a required standard form used as a cover sheet for submission of preapplications, applications, and related information. See the <u>OJP Grant Application</u> Resource <u>Guide</u> for additional information on completing the SF-424.

Intergovernmental Review: This solicitation ("funding opportunity") **is not** subject to <u>Executive Order 12372</u>. (In completing the SF-424, an applicant is to answer question 19 by selecting the response that the "Program is not covered by E.O. 12372.")

2. Project Abstract

Applications should include a project abstract that summarizes the proposed project in 400 words or less. Project abstracts should be—

- Written for a general public audience.
- Submitted as a separate attachment with "Project Abstract" as part of its file name.
- Single-spaced, using a standard 12-point Times New Roman font with 1-inch margins.

3. Program Narrative

Applicants must submit a program narrative that presents a detailed description of the purpose, goals, objectives, strategies, design, and management of the proposed program. The program narrative should be double-spaced with 1-inch margins, not exceeding 30 pages of 8½ by 11 inches, and use a standard 12-point Times New Roman font. Pages should be numbered "1 of 30," etc. The tables, charts, pictures, etc., including all captions, legends, keys, subtext, etc., may be single-spaced and will count in the 30-page limit. Material required under the Budget and Budget Narrative and Additional Attachments sections will not count toward the program narrative page count. Applicants may provide bibliographical references as a separate attachment that will not count toward the 30-page program narrative limit. If the program narrative fails to comply with these length-related restrictions, OJJDP may negatively consider such noncompliance in peer review and in final award decisions.

The program narrative should address the following selection criteria: (1) description of the issue, (2) project design and implementation, (3) capabilities and competencies, and (4) plan for collecting the data required for this solicitation's performance measures. The applicant should clearly delineate the connections between and among each of these sections. For example, the applicant should derive the goals and objectives directly from the problems to be addressed. Similarly, the project design section should clearly explain how the program's structure and activities will accomplish the goals and objectives identified in the previous section.

The following sections should be included as part of the program narrative:

a. **Description of the Issue.** Applicants should briefly describe the nature and scope of the problem that the program will address (e.g., opioid-exposed newborns, reunification rates for children with substance-using parents, lack of access to treatment services for parents, poor family functioning, etc.). The applicant should use data to provide evidence that the problem exists, demonstrate the size and scope of the problem, and document the effects of the problem on the target population and the larger community. Any data or research referenced in the narrative should include information about the source of the data and/or a citation. Applicants should describe the target population and any previous or current attempts to address the problem.

Applicants should describe any research or evaluation studies that relate to the problem and contribute to their understanding of its causes and potential solutions. While OJJDP expects applicants to review the research literature for relevant studies, they should also explore whether unpublished local sources of research or evaluation data are available.

b. **Project Design and Implementation**. Applicants should detail how the project will operate throughout the funding period and describe the strategies that they will use to achieve the goals and objectives identified in the previous section. Applicants should describe how they will complete the deliverables stated in the Goals, Objectives, and

Deliverables section on page 7. OJJDP encourages applicants to select evidence-based practices for their programs.

Categories 1 and 2. Applicants are expected to address each of the eight key components of a family drug court: (1) collaborative planning; (2) eligibility, engagement, and screening; (3) assessment, service delivery, and case management; (4) program design and duration; (5) continuing judicial supervision; (6) mandatory drug testing and monitoring; (7) staff training; and (8) management information systems and evaluation.

This section should also include details regarding any leveraged resources (cash or inkind) from local sources to support the project and discuss plans for sustainability beyond the grant period.

Timeline. Applicants should submit a realistic timeline or milestone chart that indicates major tasks associated with the goals and objectives of the project, assigns responsibility for each, and plots completion of each task by month or quarter for the duration of the award, using "Year 1," "Month 1," "Quarter 1," etc., not calendar dates (see "Sample Project Timelines" here).

Applicants should submit the timeline as a separate attachment, as stipulated in Additional Attachments, page 14. On receipt of an award, the recipient may revise the timeline, based on training and technical assistance that OJJDP will provide.

c. Capabilities and Competencies. This section should describe the experience and capability of the applicant organization and any contractors or subgrantees that the applicant will use to implement and manage this effort and its associated federal funding, highlighting any previous experience implementing projects of similar design or magnitude. Applicants should highlight their experience/capability/capacity to manage subawards, including details on their system for fiscal accountability. Management and staffing patterns should be clearly connected to the project design described in the previous section. Applicants should describe the roles and responsibilities of project staff and explain the program's organizational structure and operations. Applicants should include a copy of an organizational chart showing how the organization operates, including who manages the finances; how the organization manages subawards, if there are any; and the management of the project proposed for funding.

Letters of Support and/or Memoranda of Understanding. Under Category 3, applicants are required to partner with the state or county child welfare agency and state or county substance use treatment agency, and must include with the application letters of intent formalizing these partnerships that include the following:

- Expression of support for the program and a statement of willingness to participate and collaborate with it.
- Description of the partner's current role and responsibilities in the planning process and expected responsibilities when the program is operational.
- Estimate of the percentage of time that the partner will devote to the planning and operation of the project.

Letters of support may be addressed to the OJJDP Administrator. Only letters of support that are submitted by the due date and with the full application will be considered during the review process.

d. Plan for Collecting the Data Required for This Solicitation's Performance Measures. OJP will require each successful applicant to submit regular performance data that demonstrate the results of the work carried out under the award. The performance data directly relate to the goals, objectives, and deliverables identified under "Goals, Objectives, and Deliverables."

Applicants should visit OJP's performance measurement page at www.ojp.gov/performance for an overview of performance measurement activities at OJP.

Award recipients will be required to provide the relevant data by submitting semi-annual performance metrics through OJJDP's online Performance Measurement Tool (PMT), located at https://ojpsso.ojp.gov/. Applicants should review the complete list of performance measures for this solicitation on OJJDP's Family Drug Court Program Performance Measures Grid for category 1 and 2. For category 3 (State and County Family Drug Court Expansion) review the list here.

The application should demonstrate the applicant's understanding of the performance data reporting requirements for this grant program and detail how the applicant will gather the required data should it receive funding.

Please note that applicants are **not** required to submit performance data with the application. Performance measures information is included as an alert that successful applicants will be required to submit performance data as part of the reporting requirements under an award.

Note on Project Evaluations

An applicant that proposes to use award funds through this solicitation to conduct project evaluations should follow the guidance under Note on Project Evaluations in the OJP Grant Application Resource Guide.

Please see the OJP Grant Application Resource Guide for information on the following:

4. <u>Budget Information and Associated Documentation</u> in the "Budget Preparation and Submission Information" section.

All Categories. Applicants in each category should budget for two grantee meetings the first year (one will be the kickoff/new grantee orientation meeting) and one grantee meeting each successive year. Grantees must plan to send a minimum of four people (including the project director, family drug court judge/judicial officer, child welfare representative, and treatment representative). For budgetary purposes, assume that the meetings will be in the Washington, DC, area. Each meeting will be for a minimum of 2.5 days. Attendance is mandatory.

- 5. Indirect Cost Rate Agreement
- 6. <u>Financial Management and System of Internal Controls Questionnaire (including applicant disclosure of high risk status)</u>
- 7. Disclosure of Lobbying Activities
- 8. Applicant Disclosure of Pending Applications
- 9. Applicant Disclosure and Justification DOJ High Risk Grantees³ (if applicable)

10. Tribal Authorizing Resolution (if applicable)

An application in response to this solicitation may require inclusion of information related to a tribal authorizing resolution. See the <u>OJP Grant Application Resource Guide</u> for information on tribal authorizing resolutions.

11. Research and Evaluation Independence and Integrity

If an application proposes research (including research and development) and/or evaluation, the applicant must demonstrate research/evaluation independence and integrity, including appropriate safeguards, before it may receive award funds. For additional information regarding demonstrating research/evaluation independence and integrity, including appropriate safeguards, see the OJP Grant Application Resource Guide.

12. Additional Attachments

Applicants should submit the following information, as stipulated in the cited pages, as attachments to their applications. While the materials listed below are not assigned specific point values, peer reviewers will, as appropriate, consider these items when rating applications. For example, reviewers will consider résumés when assessing "capabilities/competencies." Peer reviewers will not consider any additional information that the applicant submits other than that specified below.

- a. Documentation of Rural Challenges (if applicable). As is mentioned above, OJP will give priority consideration in award decisions to applications that address the specific public safety challenges that rural communities face. Each applicant proposing a project under the rural priority should provide a sufficient narrative to include what makes the geographic service area rural (using U.S. Census or other appropriate government data), how isolated the area is from needed services, and how they will address specific public safety challenges in rural communities. The narrative must be included as an attachment that is clearly labeled as addressing rural challenges.
- b. Documentation of anticipated benefit to Qualified Opportunity Zones (if applicable). As mentioned above, OJP will, give priority consideration in award decisions to applications that propose projects that will likely enhance public safety in federally designated Qualified Opportunity Zones (QOZs). Each applicant proposing a project it anticipates will likely enhance public safety in one or more QOZs should provide a sufficient narrative explanation in order for OJP to identify

³ A "DOJ High Risk Grantee" is a recipient that has received a DOJ High Risk designation based on a documented history of unsatisfactory performance, financial instability, management system or other internal control deficiencies, or noncompliance with award terms and conditions on prior awards, or that is otherwise not responsible.

clearly the public safety benefit the applicant anticipates that its project will have on a specified QOZ(s). The narrative and the list of impacted QOZs (by census track number) must be included as an attachment that is clearly labeled as addressing QOZs. The applicant may also include tables, charts, graphs, or other relevant illustrations that may be useful for explaining the manner in which the proposed project is anticipated to benefit a QOZ(s).

- **c.** Timeline or milestone chart (see page 12).
- **d.** Résumés of all key personnel.
- **e.** Job descriptions outlining roles and responsibilities for all key positions.
- **f.** Letters of support and/or memoranda of understanding. Under Category 3, applicants are required to partner with the state or county child welfare agency and state or county substance use treatment agency, and must include with the application, letters of intent formalizing these partnerships.

How To Apply (Grants.gov)

Applicants must register in and submit applications through <u>Grants.gov</u>, a primary source to find federal funding opportunities and apply for funding. Find information on how to apply in response to this solicitation in the <u>OJP Grant Application Resource Guide</u>.

Registration and Submission Steps

Applicants will need the following identifying information when searching for the funding opportunity on Grants.gov.

- 16.585, OJJDP FY 2020 Drug Court Discretionary Grant Program
- OJJDP-2020-17090
 - Category 1: Enhancing Family Drug Courts, OJJDP-2020-17150
 - o Category 2: Serving Veterans Through Family Drug Courts, OJJDP-2020-17151
 - Category 3: State and County Family Drug Courts Expansion, OJJDP-2020-17152

For information on each registration and submission step, see the OJP Grant Application Resource Guide.

E. Application Review Information

Review Criteria

Applications that meet basic minimum requirements will be evaluated by peer reviewers using the following review criteria.

- 1. Description of the Issue (10%)
- 2. Project Design and Implementation (45%)
- 3. Capabilities and Competencies (25%)
- 4. Plan for Collecting the Data Required for This Solicitation's Performance Measures (10%)
- 5. Budget (10%): complete, cost effective, and allowable (e.g., reasonable, allocable, and necessary for project activities). Budget narratives should demonstrate generally how

applicants will maximize cost effectiveness of grant expenditures. Budget narratives should demonstrate cost effectiveness in relation to potential alternatives and the goals of the project.⁴ Federal funds awarded under this solicitation may not cover more than 75 percent of the total costs of the project. An applicant must identify the source of the 25 percent nonfederal portion of the total project costs and how it will use match funds.

See What an Application Should Include, page 10, for the criteria that the peer reviewers will use to evaluate applications.

Review Process

OJP is committed to ensuring a fair and open process for making awards. OJJDP reviews the application to make sure that the information presented is reasonable, understandable, measurable, achievable, and consistent with the solicitation.

Peer reviewers will review the applications submitted under this solicitation that meet basic minimum requirements. For purposes of assessing whether an application meets basic minimum requirements and should proceed to further consideration, OJP screens applications for compliance with those requirements. Although specific requirements may vary, the following are common requirements applicable to all solicitations for funding under OJP programs:

- The application must be submitted by an eligible type of applicant.
- The application must request funding within programmatic funding constraints (if applicable).
- The application must be responsive to the scope of the solicitation.
- The application must include all items necessary to meet the basic minimum requirements.

For a list of the application elements that MUST be included in the application submission in order for an application to meet the basic minimum requirements, see "What an Application Should Include" under Section D. Application and Submission Information.

Peer review panels will evaluate, score, and rate applications that meet basic minimum requirements. OJJDP may use internal peer reviewers, external peer reviewers, or a combination, to assess applications on technical merit using the solicitation's review criteria. An internal reviewer is a current DOJ employee who is well-versed or has expertise in the subject matter of this solicitation. An external peer reviewer is an expert in the subject matter of a given solicitation who is not a current DOJ employee. Peer reviewers' ratings and any resulting recommendations are advisory only, but are considered carefully.

Other important considerations for OJJDP include geographic diversity, strategic priorities (specifically including, but not limited to, addressing public safety challenges that rural communities face and/or demonstrable potential enhancement to public safety in one or more federally designated Qualified Opportunity Zones), available funding, and the extent to which the Budget Detail Worksheet and Budget Narrative accurately explain project costs that are reasonable, necessary, and otherwise allowable under federal law and applicable federal cost principles.

Pursuant to the Part 200 Uniform Requirements, before award decisions are made, OJP also reviews information related to the degree of risk posed by the applicant. Among other things to

⁴ Generally speaking, a reasonable cost is a cost that, in its nature or amount, does not exceed that which would be incurred by a prudent person under the circumstances prevailing at the time the decision was made to incur the costs.

help assess whether an applicant that has one or more prior federal awards has a satisfactory record with respect to performance, integrity, and business ethics, OJP checks whether the applicant is listed in the System for Award Management (SAM) as excluded from receiving a federal award.

In addition, if OJP anticipates that an award will exceed \$250,000 in federal funds, OJP also must review and consider any information about the applicant that appears in the nonpublic segment of the integrity and performance system accessible through SAM (currently, the Federal Awardee Performance and Integrity Information System, FAPIIS).

Important note on FAPIIS: An applicant, at its option, may review and comment on any information about itself that currently appears in FAPIIS and was entered by a federal awarding agency. OJP will consider any such comments by the applicant, in addition to the other information in FAPIIS, in its assessment of the risk posed by the applicant.

Absent explicit statutory authorization or written delegation of authority to the contrary, all final award decisions will be made by the Assistant Attorney General, who may take into account not only peer review ratings and OJJDP recommendations, but also other factors as indicated in this section.

F. Federal Award Administration Information

Please see the OJP Grant Application Resource Guide for information on the following:

Federal Award Notices

Administrative, National Policy, and Other Legal Requirements

OJP strongly encourages prospective applicants to review information on post-award legal requirements and common OJP award conditions **prior** to submitting an application.

If selected for funding, in addition to implementing the funded project consistent with the OJP-approved application, the recipient must comply with all award conditions and all applicable requirements of federal statutes and regulations (including applicable requirements referred to in the assurances and certifications executed in connection with award acceptance).

For additional information on these legal requirements, see the "Administrative, National Policy, and Other Legal Requirements" section in the OJP Grant Application Resource Guide.

Information Technology (IT) Security Clauses

General Information About Post-Federal Award Reporting Requirements

In addition to the deliverables described in <u>Goals, Objectives, and Deliverables</u>, any recipient of an award under this solicitation will be required to submit certain reports and data.

Required reports. Recipients typically must submit quarterly financial reports, semi-annual progress reports, final financial and progress reports, and, if applicable, an annual audit report in accordance with the Part 200 Uniform Requirements or specific award conditions. Future awards and fund drawdowns may be withheld if reports are delinquent. (In appropriate cases, OJP may require additional reports.)

See the OJP Grant Application Resource Guide for additional information on specific post-award reporting requirements, including performance measures data.

G. Federal Awarding Agency Contact(s)

For OJP contact(s), see page 2.

For contact information for Grants.gov, see page 2.

H. Other Information

Please see the OJP Grant Application Resource Guide for information on the following:

<u>Freedom of Information and Privacy Act (5 U.S.C. 552 and 5 U.S.C. 552a)</u>
<u>Provide Feedback to OJP</u>

Appendix A: Application Checklist

OJJDP FY 2020 Family Drug Court Program

This application checklist has been created as an aid in developing an application.

What an Applicant Should Do:

<i>Pri</i>	for to Registering in Grants.gov: Acquire a D-U-N-S Number (see OJP Grant Application Resource Guide) Acquire or renew registration with SAM (see OJP Grant Application Resource Guide)				
To	Register with Grants.gov: Acquire AOR and Grants.gov username/password (see OJP Grant Application Resource Guide) Acquire AOR confirmation from the E-Biz POC (see OJP Grant Application Resource Guide)				
To	Find Funding Opportunity: Search for the Funding Opportunity on Grants.gov (see page 15) Select the correct Competition ID (see page 15) Access Funding Opportunity and Application Package (see OJP Grant Application Resource Guide) Sign up for Grants.gov email notifications (optional) (see OJP Grant Application Resource Guide) Read Important Notice: Applying for Grants in Grants.gov Read OJP policy and guidance on conference approval, planning, and reporting available at ojp.gov/financialguide/DOJ/PostawardRequirements/chapter3.10a.htm (see OJP Grant Application Resource Guide)				
<i>Aft</i> □					
<i>If №</i>	f No Grants.gov Receipt, and Validation or Error Notifications Are Received: Contact Grants.gov and/or SAM regarding experiencing technical difficulties (see OJF Grant Application Resource Guide)				
Ov	Overview of Post-Award Legal Requirements:				
	Review the "Overview of Legal Requirements Generally Applicable to OJP Grants and Cooperative Agreements - FY 2020 Awards"				
Scope Requirement:					
	The federal amount requested is within the allowable limit(s) of: Category 1: \$750,000 Category 2: \$500,000 Category 3: \$1,000,000				

Eligibility Requirement:

This solicitation is composed of three grant categories. Applicants must clearly designate the category for which they are applying.

Category 1: Enhancing Family Drug Courts and Category 2: Serving Veterans Through Family Drug Courts

The following entities are eligible to apply:

- states and territories,
- state and local courts.
- units of local government,⁵ and
- federally recognized Indian tribal governments acting on behalf of a single jurisdiction drug court.

OJJDP encourages applicants to partner with community providers of services, if applicable and appropriate.

Category 1: Enhancing Family Drug Courts and Category 2: Serving Veterans Through Family Drug Courts grants are available to jurisdictions with a fully operational family drug court. Courts applying for funding under Category 1 or 2 must be fully operational for at least 1 year, as funding is intended to enhance services of existing family drug courts.

Category 3: State and County Family Drug Courts Expansion

The following entities are eligible to apply:

- State <u>Administrative Office of the Court</u>, working in conjunction with and coordinating closely with the state's <u>Court Improvement Program</u>. Applicants are required to partner with the state child welfare agency and state substance use treatment agency, and must include with the application letters of intent formalizing these partnerships.
- Eligible county applicants are limited to the county superior court (or similar authority).
 These grants are for jurisdictions with populations at or above 2 million. Applicants are
 required to partner with the county child welfare agency and county substance use
 treatment agency, and must include with the application letters of intent formalizing
 these partnerships.

All Categories. All recipients and subrecipients (including any for-profit organization) must forgo any profit or management fee.

⁵ A "unit of local government" means—

⁽a) Any city, county, township, town, borough, parish, village, or other general purpose political subdivision of a state. (b) Any law enforcement district or judicial enforcement district that—

⁽i) is established under applicable state law, and

⁽ii) has the authority to, in a manner independent of other state entities, establish a budget and impose taxes.

⁽c) An Indian tribe that performs law enforcement functions, as determined by the Secretary of the Interior.

⁽d) For the purposes of assistance eligibility, any agency of the government of the District of Columbia or the federal government that performs law enforcement functions in and for—

⁽i) the District of Columbia, or

⁽ii) any Trust Territory of the United States.

What an Application Should Include:

The following items are critical application elements required to pass basic minimum requirements review. An application that OJP determines does not include the application elements that must be included in the application submission in order for the application to meet the basic minimum requirements will neither proceed to peer review nor receive further consideration.		
Program NarrativeBudget and Budget Narrative	(see page 11) (see page 13)	
Application for Federal Assistance (SF-424	l) (see page 10)	
Project Abstract	(see page 10)	
Program Narrative	(see page 11)	
Budget Detail Worksheet (including Narrat Resource Guide	ive) (see OJP Grant Application	
Indirect Cost Rate Agreement (if applicable Resource Guide)	e) (see OJP Grant Application	
Tribal Authorizing Resolution (if applicable Resource Guide)	(see OJP Grant Application	
Financial Management and System of Inte	rnal Controls Questionnaire (see OJP Grant Application	
Disclosure of Lobbying Activities (SF-LLL) Resource Guide)	(see OJP Grant Application	
Applicant Disclosure of Pending Applications Resource Guide)	(see OJP Grant Application	
Applicant Disclosure and Justification – DO Resource Guide)	OJ High-Risk Grantees (if applicable) (see <u>OJP Grant Application</u>	
Research and Evaluation Independence at Resource Guide)	nd Integrity (see OJP Grant Application	

Request and Justification for Employee Compensation; Waiver (if applicable) (see OJP Grant Application Resource Guide)
Documentation of rural challenges (if applicable)
Documentation of anticipated benefit to federally designated qualified opportunity zones (if applicable)
Timeline or milestone chart
Résumés of all key personnel
Job descriptions outlining roles and responsibilities for all key positions
Letters of support and/or memoranda of understanding. Under Category 3, applicants are required to partner with the state or county child welfare agency and state or county substance use treatment agency, and must include with the application, letters of intent formalizing these partnerships.